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Q1 **Light curve and spectral modelling of the type IIb SN 2020acat**

Evidence for a strong Ni bubble effect on the diffusion time

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ABSTRACT

We use the light-curve and spectral synthesis code JEKYLL to calculate a set of macroscopically mixed type IIb supernova (SN) models, which are compared to both previously published and new late-phase observations of SN 2020acat. The models differ in the initial mass, in the radial mixing and expansion of the radioactive material, and in the properties of the hydrogen envelope. The best match to the photospheric and nebular spectra and light curves of SN 2020acat is found for a model with an initial mass of 17 *M*⊙, strong radial mixing and expansion of the radioactive material, and a 0.1 *M*[⊙] hydrogen envelope with a low hydrogen mass fraction of 0.27. The most interesting result is that strong expansion of the clumps containing radioactive material seems to be required to fit the observations of SN 2020acat both in the diffusion and in the nebular phase. These Ni bubbles are expected to expand due to heating from radioactive decays, but the degree of expansion is poorly constrained. Without strong expansion, there is a tension between the diffusion phase and the subsequent evolution, and models that fit the nebular phase produce a diffusion peak that is too broad. The diffusion-phase light curve is sensitive to the expansion of the Ni bubbles because the resulting Swiss-cheese-like geometry decreases the effective opacity and therefore the diffusion time. This effect has not been taken into account in previous light-curve modelling of stripped-envelope SNe, which may lead to a systematic underestimate of their ejecta masses. In addition to strong expansion, strong mixing of the radioactive material also seems to be required to fit the diffusion peak. It should be emphasized, however, that JEKYLL is limited to a geometry that is spherically symmetric on average, and large-scale asymmetries may also play a role. The relatively high initial mass found for the progenitor of SN 2020acat places it at the upper end of the mass distribution of Type IIb SN progenitors, and a single-star origin cannot be excluded.

Key words. supernovae: individual: ...: sn 2020acat – supernovae: general – radiative transfer

1 **1. Introduction**

[Ergon et al.](#page-21-0) [\(2018,](#page-21-0) hereafter [E18,\)](#page-21-0) and [Ergon & Fransson](#page-21-1) [\(2022,](#page-21-1) herafter [E22\)](#page-21-1) presented and tested the light-curve and spec-⁴ tral synthesis code JEKYLL, and demonstrated its capability of ⁵ modelling both the photospheric and nebular phase of supernovae (SNe). In particular, we demonstrated that both non-local thermodynamic equilibrium (NLTE) and the macroscopic mix-⁸ ing of the ejecta that occurs in the explosion need to be taken into account for the models to be realistic. As discussed in $E22$, the ¹⁰ macroscopic mixing of the ejecta influences the SN in several ¹¹ ways: by preventing compositional mixing of the nuclear burn-¹² ing zones, which affects the strength of important lines in the

nebular phase, and by expansion, of clumps containing radioactive material, which tends to decrease the effective opacity and ¹⁴ therefore the diffusion time in the photospheric phase. The latter $\frac{1}{15}$ [e](#page-21-2)ffect, which can be dramatic, has also been discussed by [Dessart](#page-21-2) 16 [& Audit](#page-21-2) [\(2019\)](#page-21-2) with respect to Type IIP SNe, although in their $\frac{1}{17}$ case, the clumping was not directly linked to the expansion of $_{18}$ the radioactive material. The magnitude of the effect depends on $_{19}$ uncertain properties of the small-scale three-dimensional (3D) 20 ejecta structure, such as the typical scale at which the fragmentation occurs in the explosion, and to which extent clumps con- ²² taining radioactive material subsequently expand due to heating 23 from radioactive decays. It is therefore of great interest to further $_{24}$ constrain these properties. We note, however, that JEKYLL does ²⁵

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Table 1. New photometric observations of SN 2020acat.

MJD (days)	Phase (days)	q			Telescope	Instrument
59207.65	16			14.40 ± 0.07	Keck	NIRES
59268.32	77			15.56 ± 0.06	Keck	NIRES
59326.33	135			16.95 ± 0.17	Keck	NIRES
59358.30	167			17.58 ± 0.07	Keck	NIRES
59578.27	387	22.7 ± 0.2	21.4 ± 0.1		Gemini South	GMOS-S
59595.70	404	-	21.76 ± 0.22		<i>Faulkes</i> Telescope North	Spectral Camera

not simulate the hydrodynamics giving rise to the macroscop-² ically mixed ejecta, but uses a parametrised representation of these ejecta consisting of clumps of different composition, den-sity, filling factor, and size (see [Jerkstrand et al.](#page-21-3) [2011](#page-21-3) and [E22\)](#page-21-1).

 [E22](#page-21-1) applied JEKYLL to the Type IIb SN 2011dh and showed that a macroscopically mixed SN model based on a progenitor with an initial mass of ∼12 M_{\odot} reproduces the observed spectra and light curves of SN 2011dh well in both the photospheric and nebular phase. This is in line with previous work on this SN (see [Maund et al.](#page-21-4) [2011;](#page-21-4) [Bersten et al.](#page-21-5) [2011;](#page-21-5) [Ergon et al.](#page-21-6) [2015;](#page-21-6) [Jerk-](#page-21-7) [strand et al.](#page-21-7) [2015\)](#page-21-7) and underpins the emerging consensus that Type IIb SNe mainly originate from relatively low-mass pro- genitors, which in turn suggests a binary origin. However, this conclusion is mainly based on approximate modelling, although for a few Type IIb SNe (e.g. SNe 2011dh and 1993J), constraints were derived from both detailed NLTE modelling in the neb-ular phase [\(Jerkstrand et al.](#page-21-7) [2015\)](#page-21-7) and progenitor detections [\(Aldering et al.](#page-21-8) [1994;](#page-21-8) [Maund et al.](#page-21-4) 2011). It is therefore inter- esting to apply JEKYLL to another nearby well-observed Type IIb SN to explore the constraints that can be obtained on the SN and progenitor parameters.

 SN 2020acat was discovered on December 9, 2020 [\(Srivastav et al.](#page-21-9) [2020\)](#page-21-9) and was classified as a Type IIb by [Pessi](#page-21-10) [et al.](#page-21-10) [\(2020\)](#page-21-10). [Medler et al.](#page-21-11) [\(2022,](#page-21-11) hereafter [M22\)](#page-21-11) presented an extensive photometric and spectroscopic dataset, observational analysis, and approximate modelling of the SN. A complement[i](#page-21-12)ng set of near-infrared (NIR) spectra was presented by [Medler](#page-21-12) [et al.](#page-21-12) $(2023, hereafter M23)$ $(2023, hereafter M23)$ $(2023, hereafter M23)$. Here we present further late-time optical spectroscopy and photometry. Altogether, the data set for SN 2020acat is one of the best that have been obtained for 31 Type IIb SNe so far. Using the highly approximate (but classical) 32 model of [Arnett](#page-21-13) [\(1982\)](#page-21-13) for the diffusion-phase light curve, [M22](#page-21-11) found an ejecta mass similar to that of SN 2011dh, whose origin from a relatively low-mass progenitor is well constrained. On the other hand, using a one-zone NLTE model for the nebular spec- tra, they found an oxygen mass of ∼1 *M*⊙, indicating a progenitor 37 of considerably higher initial mass than that of SN 2011dh. This 38 tension^{[1](#page-2-0)} motivates more detailed modelling, and it is interesting to determine whether the tension can be resolved by using JEKYLL, which self-consistently models both the photospheric and nebular phase using more elaborate physics.

 The paper is organised as follows. In Sect. [2,](#page-2-1) we describe the observations of SN 2020acat and compare them to the obser- vations of SN 2011dh, which provides a starting point for the 45 modelling with JEKYLL. In Sect. [3,](#page-5-0) we briefly summarise the methods used by JEKYLL and describe our grid of Type IIb SN models. In Sect. [4,](#page-10-0) we compare these models to the observations of SN 2020acat in order to constrain the SN and progenitor 48 parameters. Finally, in Sect. [5](#page-19-0) we summarise the paper.

2. Observations 50

2.1. Photometry 51

The bulk of the photometry for SN 2020acat was adopted from 52 [M22,](#page-21-11) and was obtained in the *B*, *V*, *r*, *i*, and *z* bands with the $\frac{1}{54}$
Nordic Optical Telescope (NOT), the Liverpool Telescope, the $\frac{1}{54}$ Nordic Optical Telescope (NOT), the Liverpool Telescope, the Asiago *Copernico* Telescope, the Palomar *Samuel Oschin* Tele- ⁵⁵ scope, the Mount Ekar *Schmidt* Telescope, and several telescopes 56 that are part of the Las Cumbres Observatory Global Telescope 57 (LCOGT; [Brown et al.](#page-21-14) [2013\)](#page-21-14), in the *U* and *UVM2* bands with ⁵⁸ the *Swift* Observatory, and in the *J*, *H*, and *K* bands with the \sim **SNOT** and the New Technology Telescope (NTT). The reduction \sim NOT and the New Technology Telescope (NTT). The reduction and calibration of these data are described in $M22$. In addition 61 to this, we present new late-time optical photometry obtained ϵ ₆₂ at ∼400 days with the Gemini South Telescope and the *Faulkes* ⁶³ Telescope North (part of the LCOGT). Complementary *K*-band 64 photometry was also performed on the acquisition images for the 65 NIR spectra obtained with the *Keck* Telescope (see Sect. [2.2\)](#page-3-0). 66 These additional photometric observations of SN 2020acat are 67 listed in Table [1.](#page-2-2)

The Gemini South observations were obtained in the g and search as a an epoch of 387 days using the Gemini Multi r bands at an epoch of 387 days using the Gemini Multi-Object Spectrograph (GMOS-S) instrument. The observations 71 were reduced using the Gemini package included in IRAF, and $\frac{72}{2}$ point-spread function (PSF) photometry was performed with the $\frac{73}{2}$ DAOPHOT package. Instrumental magnitudes were calibrated $\frac{74}{4}$ to the standard AB system using 12 stars in the SN field to $\frac{75}{6}$ compute zero-point corrections relative to the Panoramic Sur- ⁷⁶ vey Telescope and Rapid Response System (PanSTARRS) 1 77 catalogue. The catalogue of the cat

The *Faulkes* Telescope North observations were obtained in 79 the r band at an epoch of 404 days using the Spectral Camera \approx as part of program NOAO2020B-012 (PI: De). The individual 81 reduced images were retrieved from the on-line LCOGT archive, 82 followed by stacking and photometric calibration against the 83 PanSTARRS 1 catalogue. We subtracted the host galaxy light 84 using archival PanSTARRS 1 images as templates, following the 85 method described in De et al. [\(2020\)](#page-21-15). The flux of the source 86 was estimated by performing forced PSF photometry on the 87 difference images.

The *Keck K*-band observations were obtained at epochs of 89 16, 77, 135, and 167 days using the NIRES instrument as part $\frac{90}{2}$ of the spectroscopic observations. The images were reduced and 91 the photometry was performed and calibrated to the 2 Micron 92 All Sky Survey (2MASS) system using the IRAF-based SNE 93 pipeline [\(Ergon et al.](#page-21-16) [2014\)](#page-21-16). For the calibration, a two-step 94

¹ Here and in the following, tension refers to a difference in results derived from fitting the early and late phase, that is, some shortcomings in the models that prevent a simultaneous fitting of these two phases.

Table 2. New spectral observations of SN 2020acat.

MJD (days)	Phase (days)	Range (\dot{A})	Resolution	Telescope	Instrument
59297.61	106	$3100 - 24400$	6000	VI T	X-Shooter
59395.61	204	$3500 - 9500$	300	VI T	FORS ₂
59578.27	387	$4500 - 9000$	600	Gemini South	GMOS-S
59613.50	422.	$3100 - 10000$	1000	Keck	LRIS

 procedure was used, where the magnitudes of the stars visible in the *Keck* images were first measured and calibrated to the 2MASS system using NIR images with a wider field of view obtained with the NOT.

Finally, in addition to what was done in $M22$, we also ⁶ applied spectral corrections (S-corrections; see [Stritzinger et al.](#page-21-17)) to the photometry. In the nebular phase, these correc- tions can be substantial (for a discussion of this with respect SN 2011dh and details about the procedure, see [Ergon et al.](#page-21-0) [\(2018\)](#page-21-0) and references therein). Instrumental filter-response func- tions were constructed from filter and CCD data provided by the observatory or the manufacturer and extinction data for the site. S-corrections were then calculated based on the these instrumental response functions, the filter-response functions for the Johnson-Cousins (JC), Sloan Digital Sky Survey (SDSS), and 2MASS standard systems and the spectral evolution of SN 2020acat.

¹⁸ *2.2. Spectra*

 The bulk of the spectra for SN 2020acat were adopted from [M22](#page-21-11) and [M23,](#page-21-12) and were obtained with the NOT using the ALFOSC instrument, the Asiago *Copernico* Telescope, and the *Keck* Telescope using the NIRES instruments. In addition to this, we present new late-time spectra obtained with the Very Large Telescope (VLT) using the FORS2 and X-Shooter instruments, the Gemini South Telescope using the GMOS-S instrument, and the *Keck* Telescope using the LRIS instrument. These additional spectral observations of SN 2020acat are listed in Table [2.](#page-3-1)

 The VLT observations were obtained at an epoch of 106 days using the X-shooter instrument, and at an epoch of 204 days using the FORS2 instrument with grism 300 V. The X-shooter 31 and FORS2 spectra were reduced and calibrated using ESORe- flex [\(Freudling et al.](#page-21-18) [2013\)](#page-21-18) following standard procedures, which include bias subtraction, flat-fielding, wavelength calibration, and flux calibration with a spectrophotometric standard star.

 The Gemini South observations were obtained at an epoch of 387 days using the GMOS-S instrument with the R400 grating. 37 The wavelength calibration was done using Cu-Ar lamps, and the flux calibration was done with a spectrophotometric standard star. The VLT FORS2 observations were obtained as part of the FORS+ Survey of Supernovae in Late Times program (FOSSIL; Kuncarayakti et al. in prep; see [Kuncarayakti et al.](#page-21-19) [2022\)](#page-21-19).

 The *Keck*/LRIS observations were obtained at an epoch of 422 days. The data were reduced using the fully automated data-⁴⁴ reduction pipeline LPipe [\(Perley](#page-21-20) [2019\)](#page-21-20). An observation of G191-B2B taken on the same night was used for flux calibration.

Unfortunately, simultaneous NIR photometry to flux- calibrate the *Keck* NIR spectra was not originally obtained. Therefore, as mentioned in the previous section, we measured additional *K*-band photometry from the acquisition images, and otherwise relied on interpolations from the *J* - and *H*-band pho-51 tometry obtained with NOT and NTT. However, after 115 days, no J - and H -band photometry is available, so in this case, we $\frac{52}{2}$ decided to extrapolate the *J*-band evolution using our optimal 53 model for SN 2020acat and linearly interpolated between this 54 and the measured K -band magnitudes. This should be kept in $\frac{55}{55}$ mind while examining the J - and H -band regions of the NIR $_{56}$ spectra obtained after 115 days. 57

2.3. Distance, extinction, and explosion epoch 58

According to the NASA/IPAC Extragalactic Databse (NED), the 59 host galaxy PGC037027 has a redshift of $z = 0.00793$, which, ϵ_0 using a cosmology with H₀ = 73.0 + 5 km s⁻¹ Mnc⁻¹ O_m = 0.27 ϵ_0 using a cosmology with H₀ = 73.0 ± 5 km s⁻¹ Mpc⁻¹, Ω_{m} = 0.27, 61 and Ω_{A} = 0.73 corresponds to a *Hubble* flow distance of 35.3 + and $\Omega_{\Lambda} = 0.73$, corresponds to a *Hubble* flow distance of 35.3 ± 62
4 Mpc (see M22 for a discussion of the error bar), corrected 63 4 Mpc (see M22 M22 M22 for a discussion of the error bar), corrected for the influence of the Virgo cluster, the Great Attractor, and $_{64}$ the Shapley supercluster. This in turn corresponds to a distance 65 modulus $m - M = 32.74 \pm 0.27$ mag.
As in [M22,](#page-21-11) we assumed that the extinction within the host 67

galaxy is negligible, which is supported by the absence of host $\overline{68}$ galaxy Na I D lines and the position of SN within the host galaxy. $\overline{69}$ Based on this assumption, the total extinction is the same as the 70 extinction within the Milky Way along the line of sight, which is $\frac{71}{11}$ $E(B - V) = 0.021$ mag, according to NED.
The constraints on the explosion epoch are good, and only $\frac{72}{73}$

The constraints on the explosion epoch are good, and only two days lie between the last non-detection at $MJD = 59190.61$ α and the first detection at MJD = 59192.65. In contrast to [M22,](#page-21-11) $\frac{75}{6}$ who used a fit to the pseudo-bolometric light curve to determine $\frac{76}{6}$ the explosion epoch, we simply adopted the midpoint between $\frac{77}{20}$ the last non-detection and the first detection $(MJD = 59191.63)$ 78 as the explosion epoch. The same state of $\frac{79}{2}$

2.4. Comparison to SN 2011dh 80

Because SN 2011dh was modelled by JEKYLL in [E22](#page-21-1) and 81 has both excellent data and well-constrained SN and progenitor 82 parameters, it is of particular interest to compare the observa- 83 tions of SN 2020acat to this SN. The main purpose is to provide 84 a starting point for the modelling of SN 2020acat with JEKYLL, 85 but we also discuss some other topics.

$2.4.1.$ Light curves 87

Figure [1](#page-4-0) shows the optical, NIR, and pseudo-bolometric *uBVriz* 88 light curves of SN 2020acat compared to SN 2011dh. In the fig- 89 ure, we also show cubic spline fits to the data, and for sparsely 90 sampled bands, interpolations in colour. In general, the light θ 1 curves are quite similar and show a rise to a bell-shaped max- ⁹² imum followed by a tail with a roughly linear decline that is 93 characteristic for Type IIb and other stripped-envelope (SE) SNe. ⁹⁴ The maximum is less pronounced and occurs later for redder 95 bands, and the decline rate on the tail is initially lower for bluer 96 bands, but increases subsequently. The maximum is shaped by 97

Fig. 1. Broadband and bolometric light curves until 250 days for SN 2020acat (filled circles) compared to SN 2011dh (empty squares). From bottom to top, we show the *UVM2* (magenta), *u* (cyan), *B* (blue), *V* (green), *r* (red), *ugBVriz* pseudo-bolometric (black), *i* (yellow), *z* (blue), *J* (red), *H* (green), and *K* (blue) light curves. They are shifted for clarity by 6.0, 4.3, 2.0, 0.0, −2.3, -5.7, –7.7, –10.0, –13.0, –15.0, and –17.0 mags, respectively.

diffusion of the energy deposited by the radioactive $56Ni$ synthesised in the SN explosion, and the tail, where the SN becomes ³ optically thin, is shaped by the instant release of this energy. ⁴ For SN 2011dh, an initial decline phase was also observed by 5 PTF [\(Arcavi et al.](#page-21-21) [2011\)](#page-21-21). This is seen in many Type IIb SNe and ⁶ is caused by the cooling of their low-mass hydrogen envelopes. This phase is not observed in SN 2020acat, and because the first observation is from approximately one day, it has to be short. For $\overline{}$ 8 a more detailed discussion of the light curves of SN 2011dh and 9 Type IIb SNe in general, see [Ergon et al.](#page-21-16) $(2014, 2015)$ $(2014, 2015)$ $(2014, 2015)$ and [E22.](#page-21-1) $_{10}$

However, there are also differences. SN 2020acat is more 11 luminous than SN 2011dh, peaks earlier, and declines more 12 slowly on the tail. This is further illustrated by Table 3 , where $\frac{1}{3}$ we list the times and magnitudes of the peak as well as the tail $_{14}$ decline rates for the pseudo-bolometric *uBVriz* light curves. We 15 note that the tail decline rate is roughly similar in the beginning, and then increases for SN 2011dh at ∼100 days and for SN 17 2020acat at ∼150 days, after which it becomes roughly similar ¹⁸ again. This is consistent with SN 2020acat becoming optically 19 thin to the γ -rays later than SN 20[1](#page-4-0)1dh. In addition, Fig. 1 shows 20
that on the rise to peak luminosity. SN 2020acat is significantly that on the rise to peak luminosity, SN 2020acat is significantly ²¹ bluer than SN 2011dh, at least when we focus on the optical 22 bands. The evolution in the ultraviolet (UV) is also quite differ- 23 ent: It shows a continues decline in SN 2011dh, but a pronounced ₂₄ diffusion peak in SN 2020 acat. This could be related to the much 25 shorter cooling phase for SN 2020acat. Another clear difference 26 is the evolution of the r band in the nebular phase, which is 27 directly related to the evolution of the [O I] $6300,6364 \text{ Å}$ lines. 28

2.4.2. Spectra 29

Figure [2](#page-6-0) shows the optical and NIR spectral evolution of SN 30 2020acat compared to SN 2011dh. In this and all following fig-
31 ures, the spectra are time-interpolated as described in [Ergon et al.](#page-21-16) 32 (2014) . If not otherwise stated, we only show interpolated spec- $\frac{33}{2}$ tra that have observed counterparts close in time. In general, the 34 spectra are quite similar, showing the transition from a hydrogen-
₃₅ dominated to a helium-dominated spectrum, as is characteristic ³⁶ of Type IIb SNe. Ha is initially the strongest line, but gradually and disappears on the rise to the peak, whereas absorption in H α and as disappears on the rise to the peak, whereas absorption in H α and 38 H β lines remains for a longer time. The helium lines appear on Hβ lines remains for a longer time. The helium lines appear on $\frac{39}{40}$ the rise to the peak and grow strong during the decline to the $\frac{40}{40}$ the rise to the peak and grow strong during the decline to the tail. The spectra also show lines from heavier elements, in par- ⁴¹ ticular, the Ca II 3934,3968 Å and Ca II 8498,8542,8662 Å lines $_{42}$ (hereafter Ca II HK and Ca II NIR triplet), which are present ⁴³ throughout most of the evolution, and the forbidden [Ca II] 7291, ⁴⁴ 7323 Å and [O I] 6300, 6364 Å lines, which become the strongest 45 lines during the nebular phase. For a more detailed discussion of 46 [t](#page-21-16)he spectra of SN 2011dh and Type IIb SNe in general, see [Ergon](#page-21-16) 47 [et al.](#page-21-16) [\(2014,](#page-21-16) [2015\)](#page-21-6), [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7), and [E22.](#page-21-1) ⁴⁸

However, there are also differences, and the lines of SN $_{49}$ 2020acat are broader and the velocities are higher. This is fur-ther illustrated by Fig. [3,](#page-7-0) where we show the velocity evolution $\frac{51}{100}$ of the absorption minimum for the H α and He I 7065 Å lines and the half-width at half-maximum (HWHM) velocity for the and the half-width at half-maximum (HWHM) velocity for the [O I] 6300,6364 Å lines for SNe 2020 acat and 2011dh. The 54 asymptotic H α velocity, which likely corresponds to the inter-
face between the helium core and hydrogen envelope (see Ergon [f](#page-21-16)ace between the helium core and hydrogen envelope (see [Ergon](#page-21-16) [et al.](#page-21-16) [2014,](#page-21-16) [2018\)](#page-21-0) is ~12000 km s⁻¹ for SN 2020acat compared to s \sim 11 000 km s⁻¹ for SN 2011dh. The He I 7065 Å velocity, which ss may be thought of as a representative for the helium envelope. ₅₉ is 38% higher (on average) for SN 2020acat, and the HWHM $_{60}$ velocity of the [O I] 6300, 6364 Å line, which may be thought ϵ ₆₁ of as a representative for the carbon-oxygen core, is 20% higher 62 (on average) for SN 2020acat. We also measured the velocity of $\overline{63}$ the absorption minimum for the O I 7774 Å line and the HWHM $_{64}$ velocity of the [Ca II] 7291, 7323 Å line, which are 30% and 33% 65 higher (on average), respectively, for SN 2020acat.

SN	Maximum (days)	(mag)	$(mag day^{-1})$	Bolometric magnitude Decline rate (75 d) Decline rate (125 days) $(mag day^{-1})$	Decline rate (200 days) $(mag day^{-1})$
2020 acat	16.00	-17.27	0.014	0.015	0.018
2011dh	20.01	-16.57	0.016	0.022	0.020

Table 3. Light-curve characteristics for the pseudo-bolometric *uBVriz* light curves of SN 2020acat and SN 2011dh measured from cubic spline fits.

In Fig. [4,](#page-7-1) we provide a closeup of the H α and H β lines for SN 2020acat and SN 2011dh. Similar to the H α line, the velocity of the H β line is higher in SN 2020acat, and the asymptotic velocity of the absorption minimum approaches $12\,000\,\mathrm{km\,s^{-1}}$ 4 ⁵ for both lines. It also appears that the hydrogen signature is slightly stronger. In particular, these lines remain in absorption ⁷ for a longer time in SN 2020acat. Whereas the H α line dis-
⁸ appears in absorption at \sim 80 days in SN2011dh, it remains in ⁸ appears in absorption at ∼80 days in SN2011dh, it remains in ⁹ absorption at 100 days in SN 2020acat.

10 In Fig. [4,](#page-7-1) we provide a close-up of the He I 5876 \AA and He I 1.083 µm lines between 10 and 150 days for SN 2020acat and SN 2011dh. Similar to the He I 7065 Å line, the velocities of these lines are higher in SN 2020acat, in particular at early times, and in particular for the He I 1.083 μ m line. This line is also much 15 stronger in SN 2020acat at early times. As discussed by [M23,](#page-21-12) at 16 late times, the He I 1.083 μ m line (as well as the He I 2.058 μ m line) attain a very distinct flat-topped shape for SN 2020acat, which is not seen in SN 2011dh.

19 It is also clear that the [O I] 6300, 6364 Å line, which is a ²⁰ [t](#page-21-7)racer of the initial mass of the progenitor (see e.g [Jerkstrand](#page-21-7) ²¹ [et al.](#page-21-7) [2015\)](#page-21-7), is stronger in SN 2020acat. This is further illus-22 trated in Fig. [6,](#page-7-2) where we show the [O I] 6300,6364 Å luminosity ²³ normalised with the pseudo-bolometric *uBVriz* luminosity and ²⁴ the luminosity of the 56 Ni decay-chain for SN 2020acat and SN ²⁵ 2011dh. The line luminosity was measured with the same method ²⁶ as in [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7) to allow a comparison with Fig. 15 ²⁷ in that paper. Compared to the pseudo-bolometric *uBVriz* lumi-28 nosity, the [O I] 6300, 6364 Å luminosity is 2.0 times higher (on ²⁹ average, after 150 days) for SN 2020acat, and compared to the ⁵⁶Ni decay-chain luminosity, it is 3.8 times higher (on average, 31 after 150 days) for SN 2020 acat.

Finally, some other differences are also worth mentioning. ³³ First, the evolution of the Ca II HK and NIR triplet lines differ. Early on, these lines are absent in SN 2020acat, and later on, they ³⁵ are much weaker in absorption in SN 2020acat. Second, the quite strong [N II] 6548, 6583 Å lines emerging on the red shoulder of 37 the [O I] 6300, 6364 Å lines towards ∼300 days in SN 2011dh (see [Jerkstrand et al.](#page-21-7) 2015) seem to be absent or at least much ³⁹ weaker in SN 2020acat.

⁴⁰ *2.5. Estimates of the SN parameters*

We may attempt to use the comparison for an educated guess of how the SN parameters scale between SN 2011dh and SN 43 2020 acat. [Ergon](#page-21-22) [\(2015\)](#page-21-22) fitted scaling relations for the SN param- eters as a function of the observed quantities to a large grid of hydrodynamical SN models (see also [Ergon et al.](#page-21-6) [2015\)](#page-21-6). These were as follows:

$$
\log M_{ej} = -3.42 + 1.81 \log t_m - 0.18 \log L_m + 1.47 \log v_m
$$
 (1)

$$
\log E_{ej} = -3.95 + 0.75 \log t_m - 0.07 \log L_m + 2.90 \log v_m
$$
 (2)

$$
\log M_{Ni} = -4.96 + 2.08 \log t_m + 0.93 \log L_m + 1.19 \log v_m
$$
 (3)

where t_m , L_m , and v_m are the time, luminosity, and photospheric 47 velocity at the maximum. Measurements of t_m and L_m for SN $_{48}$ 2020acat and SN 2011dh are listed in Table [3.](#page-5-1) Measuring v_m 49 from Fig. [3](#page-7-0) using the He I 7065 Å line as a proxy for the photosphere, Eqs. (1) – (3) give scale factors of 1.0, 2.1, and 1.7 for $\overline{51}$ M_{ei} , E_{ei} and M_{Ni} , respectively, compared to SN 2011dh. Applying these scaling factors to the optimal model for SN 2011dh 53 from [E22,](#page-21-1) we obtain $M_{\text{ei}} = 1.7 M_{\odot}$, E_{ej}=1.4 B, and $M_{\text{Ni}} = 0.13 M_{\odot}$. 54 This is qualitatively similar to the results in $M22$ using the 55 Arnett model; as compared to SN 2011dh, the ejecta mass of 56 SN 2020acat seems to be similar, whereas the kinetic energy of 57 the ejecta and the mass of 56 Ni seem to be much higher.

However, as is evident from Fig. [6,](#page-7-2) the strength of the $[O I]$ 59 6300, 6364 Å lines points in another direction, suggesting a con- $\frac{60}{2}$ siderably higher oxygen mass in SN 2020acat, corresponding to 61 a considerably higher ejecta mass (when we assume the progen- 62 itor to be an almost bare helium core). Assuming everything else $\overline{63}$ to be equal, the [O I] 6300, 6364 Å luminosity normalised with ϵ the pseudo-bolometric luminosity would just scale with the frac- 65 tional oxygen mass, which would then be 2.0 times higher. This 66 corresponds to an ejecta mass that is about twice higher and an 67 initial mass of ~17 M_{\odot} in the [Woosley & Heger](#page-21-23) [\(2007\)](#page-21-23) models. ⁶⁸ This is again qualitatively in line with the results by $M22$, who 69 used one-zone NLTE modelling to find an oxygen mass of ~1 *M*_⊙ 70 , corresponding to an initial mass of $16-17 M_{\odot}$.

Our educated guess for the SN parameters provides a starting $\frac{72}{2}$ point for the modelling with JEKYLL and a guideline for the SN $_{73}$ models. Because of the inconclusive results for the initial mass, $\frac{74}{4}$ we treat this as a free parameter, whereas the velocities of the $\frac{75}{6}$ interfaces between the compositional layers and the mass of $56Ni$ 76 were kept fixed based on the comparison. Instead, we took the 77 opportunity to explore the parameters of the macroscopic mix- ⁷⁸ ing (which are not well constrained) and the properties of the $\frac{79}{2}$ hydrogen envelope. 80

3. Methods and models 81

The SN models presented in this work were calculated with the 82 JEKYLL code, which is described in detail in $E18$, and $E22$. 83 Here, we briefly repeat the general methods used in JEKYLL. 84 The configuration of JEKYLL and the atomic data used are 85 described in [A](#page-22-0)ppendixs \overline{A} and \overline{B} , respectively.

Like in $E22$, the ejecta models are phenomenological mod- 87 els based on results from hydrodynamical modelling and the 88 observed velocities of the ejecta. For the comparison with SN 89 2020acat, we present a set of models that differ in initial mass, 90 radial mixing and expansion of the radioactive material, and 91 in the mass and mass fraction of hydrogen in the hydrogen 92 envelope. 93

$$
3.1. JEKYLL
$$

JEKYLL is a light-curve and spectral-synthesis code based on 95 a Monte Carlo (MC) method for the time-dependent 3D radia- ⁹⁶ tive transfer developed by [Lucy](#page-21-24) [\(2002,](#page-21-24) [2003,](#page-21-25) [2005\)](#page-21-26), which was $\frac{97}{2}$

Fig. 2. Spectral evolution of SN 2020acat (red) compared to SN 2011dh (black). Spectra from ten logarithmically spaced epochs between 15 and 200 days and a single epoch at 400 days are shown. In addition, the rest wavelengths of the most important lines are shown as dashed green lines.

Fig. 3. Comparison of the evolution of the absorption minimum for the H α (yellow) and He I 7065 Å (blue) lines and the HWHM of the [O I] 6300, 6364 Å doublet for SN 2020acat (filled circles) and SN 2011dh (empty squares).

Fig. 4. Comparison of the evolution of the H α and H β lines in SN 2020acat and SN 2011dh. Spectra from ten equally spaced epochs between 10 and 100 days are shown. Interpolated spectra that have no observed counterpart close in time are shown in grey. The inferred helium-hydrogen interface velocities of SNe 2011dh (11 000 km s⁻¹) and 2020acat $(12,000 \text{ km s}^{-1})$ are shown as dashed red lines.

extended as described in $E18$. To calculate the radiation field and the state of matter^{[2](#page-7-3)}, an iterative procedure is used, which is \sin similar to an accelerated Λ-iteration (see the discussion in [E18,\)](#page-21-0). The statistical and thermal equilibrium equations are solved taking all relevant processes into account. In particular, this includes heating, excitation, and ionisation by non-thermal electrons calculated using the method by Kozma $&$ Fransson [\(1992\)](#page-21-27). In the inner region, where the matter and radiation field are ⁹ assumed to be coupled, we use a diffusion solver to calculate ¹⁰ the temperature.

¹¹ JEKYLL also takes the macroscopic mixing of the ejecta into 12 account by use of the virtual grid method [\(Jerkstrand et al.](#page-21-3) [2011\)](#page-21-3),

Fig. 5. Comparison of the evolution of the He_I 5876 \AA and He_I 10 830 Å lines in SN 2020acat and SN 2011dh. Spectra from ten equally spaced epochs between 10 and 150 days are shown. Otherwise, this is the same as in Fig. 4 .

Fig. 6. Comparison of the evolution of the [O I] 6300, 6364 Å luminosity normalised with the pseudo-bolometric *uBVriz* luminosity (upper panel) and the ⁵⁶Ni decay-chain luminosity (lower panel) for SN 2020acat (filled circles) and SN 2011dh (empty squares).

in which the fragmentation of the ejecta due to hydrodynamical 13 instabilities is represented by spherical clumps characterized by 14 their composition, density, size, and filling factor. The clumps are 15 drawn based on their filling factor and geometrical cross section 16 as the MC packets propagate through the ejecta, and they are 17 virtual in the sense that they only exist as long as a MC packet 18 propagates through them.

The main limitations in JEKYLL are the assumptions of 20 homologous expansion, thermal and statistical equilibrium, and ₂₁ a spherically symmetric distribution of the matter. The latter 22 is only assumed on large scales and on average, however, and 23 small-scale asymmetries are taken into account through the virtual grid method. Another important limitation is the lack of a 25 treatment of the ejecta chemistry (i.e. molecules and dust). 26

3.2. Ejecta models 27

[T](#page-21-23)he ejecta models are based on SN models by Woosley $\&$ 28 [Heger](#page-21-23) [\(2007\)](#page-21-23) for non-rotating single stars at solar metallicity $_{29}$

² With 'state of matter' we refer to the temperature and the populations of ionised and excited states.

Table 4. Main set of ejecta models.

Model	$M_{\text{ZAMS}}~(M_{\odot})$	$M_{\rm ei}$ (M_{\odot})	E_{ej} (B)	Radial mixing	Expansion	$M_{\rm H}~(M_{\odot})$	$X_{\rm H}$
$M13-m-s$	13	2.1	0.82	Medium	Strong	0.027	0.54
$M15-m-s$	15	2.6	0.92	Medium	Strong	0.027	0.54
$M17-w-n$	17	3.5	1.0	Weak	None	0.027	0.54
$M17-m-n$	17	3.5	1.0	Medium	None	0.027	0.54
$M17-s-n$	17	3.5	1.0	Strong	None	0.027	0.54
$M17-m-m$	17	3.5	1.0	Medium	Medium	0.027	0.54
$M17-w-s$	17	3.5	1.0	Weak	Strong	0.027	0.54
$M17-m-s$	17	3.5	1.0	Medium	Strong	0.027	0.54
$M17-s-s$	17	3.5	1.0	Strong	Strong	0.027	0.54
$M17-s-s-H-1$	17	3.5	0.95	Medium	Strong	0.0135	0.54
$M17-s-s-H-h$	17	3.6	1.1	Medium	Strong	0.054	0.54
$M17-s-s-XH-1$	17	3.6	1.1	Medium	Strong	0.027	0.27
$M19-m-s$	19	4.5	1.3	Medium	Strong	0.027	0.54
$M21-m-s$	21	5.4	1.4	Medium	Strong	0.027	0.54

Notes. For each model, we list the initial mass, the ejecta mass and (kinetic) energy, the radial mixing and the expansion of the radioactive material, and the mass and mass fraction of hydrogen in the hydrogen envelope.

with initial masses of 13, 15, 17, 19, and 21 M_{\odot} , from which ² the helium core was carved out and the masses and abundances for the compositional layers were adopted. The stellar models were evolved to the verge of core-collapse and exploded with an energy of 1.2 B by [Woosley & Heger](#page-21-23) [\(2007\)](#page-21-23) using the 1D code *Kepler*. We note that the evolution depends on the assumed ⁷ stellar parameters (no rotation and solar metallicity) as well as on the assumed progenitor system (single star). Moreover, the explosive nucleosynthesis and the amount of fallback onto the ¹⁰ remnant depends on the assumed explosion energy (1.2 B) and ¹¹ on the simplified 1D explosion treatment in *Kepler*.

 Following the approach in [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7), the carbon-oxygen core was assumed to have a constant (average) density, and the helium envelope to have the same (average) [d](#page-21-28)ensity profile as the best-fit model for SN 2011dh by [Bersten](#page-21-28) [et al.](#page-21-28) [\(2012\)](#page-21-28). In addition, a low-mass hydrogen envelope based on models by [Woosley et al.](#page-21-29) [\(1994\)](#page-21-29) was attached. Based on the comparison with SN 2011dh (Sect. 2.4) and our previous suc- cessful model of this SN, the velocities of the interfaces between the carbon-oxygen core, the helium envelope, and the hydro- $_{21}$ gen envelope were set to 4200 and 12000 km s⁻¹, respectively, and the explosive nucleosynthesis was adjusted to match a 56 Ni 23 mass of $0.13 M_{\odot}$ (see below). We emphasise that the models are not self-consistent hydrodynamical models, but rather phe- nomenological models based on results from hydrodynamical simulations and the observed velocities and luminosity of SN 2020acat.

 Based on the original onion-like compositional structure, we identify five compositional zones (O/C, O/Ne/Mg, O/Si/S, Si/S, and Ni/He) in the carbon-oxygen core and two compositional 31 zones (He/N and He/C) in the helium envelope. The explosive nucleosynthesis was adjusted by scaling the mass of the Ni/He zone, whereas the Si/S and O/Si/S zones (which are also affected by the explosive nucleosynthesis) were left untouched. To mimic the mixing of the compositional zones in the explosion, three scenarios with different degrees of mixing of the radioactive material (weak, medium, and strong) were explored. In the weak-mixing scenario, the core is homogeneously mixed, but no core material is mixed into the envelope. In the medium-mixing scenario, 50% of the radioactive Ni/He material is mixed into the inner helium envelope, and in the strong-mixing scenario, 20% of this is mixed further into the outer helium envelope. The 42 other material in the core is not mixed into the helium envelope 43 in any of these scenarios, which is a simplification.

Given the mass-fractions of the compositional zones, the 45 clumping geometry in our paramterisation is determined by the ⁴⁶ sizes (or masses) of the clumps and their filling factors (see $E22$). \rightarrow 47 As discussed in Sect. [3.3,](#page-9-0) the constraints on the clumping geometry in Type IIb SNe are rather weak, in particular with respect to ⁴⁹ the helium envelope. We assumed a clump mass of $2.8 \times 10^{-5} M_{\odot}$ so and explored three scenarios with different amounts of expanand explored three scenarios with different amounts of expansion (none, medium, and strong) of the radioactive material. 52 In the medium-expansion scenario, we assumed a density contrast factor between the expanded and compressed material of $_{54}$ 10 in the core and 5 in the helium envelope, and in the strong- ⁵⁵ expansion scenario, we assumed a density contrast factor of 60 $\frac{1}{56}$ in the core and 30 in the helium envelope. The main reason for 57 keeping the clump mass fixed was to limit the computational cost $\frac{1}{58}$ (which is considerable). However, we note that the clump mass $_{59}$ mainly affects the effective opacity because the decrease of this \approx in a clumpy medium disappears when the clumps become optically thin (see $E22$). It is therefore somewhat degenerate with the ϵ ₆₂ expansion of the radioactive material, which further motivates 63 our choice to keep one of these parameters fixed. ⁶⁴

We also investigated the effect of the mass and mass fraction 65 of hydrogen in the hydrogen envelope (which together determine 66 the total mass of the hydrogen envelope), and explored three dif- 67 ferent masses (low, medium, and high), and two different mass ⁶⁸ fractions (low and medium). The medium scenario corresponds θ to a hydrogen mass of 0.027 M_{\odot} and X_H =0.54. The low and high $\frac{1}{70}$ hydrogen-mass scenarios correspond to 0.0135 and 0.054 M_{\odot} 71 and the low mass-fraction scenario corresponds to $X_H = 0.27$. τ_z Our set of models thus differs in initial mass, radial mixing and $\frac{73}{2}$ expansion of the radioactive material, and in the mass and mass $\frac{74}{4}$ fraction of the hydrogen in the hydrogen envelope. All models $\frac{75}{6}$ are listed in Table [4,](#page-8-0) and a detailed description of each model is π given in Appendix C .

In addition to this set of models, which is used to constrain $\frac{78}{6}$ the model parameters in Sect. [4.1,](#page-10-1) we calculated a few variants $\frac{79}{2}$ on the M17-s-m model for which we varied the metallicity and 80 mass of 56 Ni. We also calculated a model with a very strong 81 expansion in the core (a contrast factor of 210). These models 82

Notes. All are based on the M17-m-s model and have an initial mass of 17 M_{\odot} , medium mixing, strong expansion, $M_H = 0.027$ and $X_H = 0.54$ if not otherwise stated.

are listed in Table [5](#page-9-1) and are referred to in Sect. [4.2](#page-14-0) where we ² compare our optimal model with observations of SN 2020acat ³ in detail.

⁴ *3.3. Macroscopic mixing in Type IIb SNe*

⁵ Our knowledge of the macroscopic mixing in Type IIb SNe is limited, but there are some constraints, although they are generally weak. Some insights might also be gained from other types of SNe, not the least from SN 1987A.

For SN 1987A, a filling factor of 0.2 was estimated for the Ni/He material in the core by [Kozma & Fransson](#page-21-30) [\(1998\)](#page-21-30) using mid-IR (MIR) fine-structure Fe lines, and a filling factor of 0.1 was estimated for the oxygen-rich material in the core by [Spyromilio & Pinto](#page-21-31) [\(1991\)](#page-21-31) using the optical depth of the [O I] 6300, 6364 Å lines. Based on the core model for SN 1987A by [Jerkstrand et al.](#page-21-3) [\(2011\)](#page-21-3), this corresponds to an expansion factor of ∼10 for the Ni/He material, a compression factor of ∼5 for the oxygen-rich material, and a contrast factor of ∼50. Based on a 18 similar line of arguments, [Jerkstrand et al.](#page-21-32) [\(2012\)](#page-21-32) found a density contrast of ∼30 between the Ni/He material and the oxygen-rich material in the core of the Type IIP SN 2004et.

 As a result of differences in the progenitor structure, this does not necessarily apply to Type IIb SNe. In particular, the hydro- dynamical instabilities near the interface between the helium and hydrogen envelope are expected to be weaker in a Type IIb SN. However, a high density contrast in the core is consistent with constraints on the filling factor of the oxygen-rich mate- rial (0.02 < Φ < 0.07) derived for SN 2011dh from small-scale variations in the [O I] 6300, 6364 Å and Mg I] 4571 Å line pro- files [\(Ergon et al.](#page-21-6) [2015\)](#page-21-6) and the optical depth of the [O I] 6300, 6364 Å lines [\(Jerkstrand et al.](#page-21-7) [2015\)](#page-21-7). The cavities observed in the Type IIb SN remnant Cas A also seem to indicate a consider- able expansion of the radioactive material, even at high velocities [\(Milisavljevic & Fesen](#page-21-33) [2013,](#page-21-33) [2015\)](#page-21-34). Overall, however, the constraints on the expansion of the radioactive material in Type IIb SNe are weak, in particular with respect to the helium envelope. For SN 1987A, the number of clumps in the oxygen-rich 37 zones in the core was estimated to be ∼2000 by [Chugai](#page-21-35) [\(1994\)](#page-21-35), who used a statistical model to analyse small-scale variations in the $[O I]$ 6300, 6364 Å line profiles. Based on the core-model for SN 1987A by [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7), this corresponds to ⁴¹ a clumps mass of ~10⁻³ M_{\odot} . However, as for the contrast fac- tor, this does not necessarily apply to Type IIb SNe. Applying the [Chugai](#page-21-35) [\(1994\)](#page-21-35) model to SN 2011dh, [Ergon et al.](#page-21-6) [\(2015\)](#page-21-6) found a lower limit on the number of clumps in the O/Ne/Mg zone in the core of ∼900 from small-scale variations in the [O I] 6300, 6364 Å and Mg I 4571 Å line profiles. A similar limit was derived for SN 1993J by [Matheson et al.](#page-21-36) [\(2000\)](#page-21-36) using the same statistical model. Based on the core-model of SN 2011dh from [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7), the former limit corresponds to an upper $\frac{49}{49}$ limit on the clump mass of ~1.5 × 10⁻⁴ M_{\odot} . To our best knowledge, there are no constraints on the sizes of the clumps in the edge, there are no constraints on the sizes of the clumps in the helium envelope, and the constraints on the sizes of the clumps $\frac{52}{2}$ in Type IIb SNe are weak overall.

The extent of the mixing in Type IIb SNe is better con- ⁵⁴ strained, and most light-curve modelling requires mixing of the 55 He/Ni material far out in the helium envelope to reproduce the 56 rise to peak luminosity (e.g. [Ergon et al.](#page-21-6) [2015;](#page-21-6) [Taddia et al.](#page-21-37) [2018\)](#page-21-37). ⁵⁷ We note, however, that this modelling typically ignores the opac-
sa ity increase that mixing of the Ni/He material gives rise to in the 59 envelope. This limitation is absent from our JEKYLL simula- 60 tions. Extensive mixing is also supported by explosion modelling $\frac{61}{61}$ (e.g. [Wongwathanarat et al.](#page-21-38) [2017\)](#page-21-38) and by the distribution of $O-$ 62 and Si-burning products in Cas A (e.g. [Willingale et al.](#page-21-39) [2002\)](#page-21-39). 63 Finally, the assumption that the mixing is macroscopic is sup- $\frac{64}{9}$ ported by both theoretical arguments (e.g. [Fryxell et al.](#page-21-40) [1991\)](#page-21-40) ϵ ₅₅ and by observations of SNe (e.g. Fransson $&$ Chevalier [1989\)](#page-21-41) 66 and SNRs (e.g. [Ennis et al.](#page-21-42) [2006\)](#page-21-42). [E22](#page-21-1) discussed this issue in 67 more detail and showed that microscopically mixed models of 68 SN 2011dh give a very poor match to the [Ca II] 7291, 7323 \AA and 69 [O I] 6300, 6364 Å lines in the nebular phase. $\frac{70}{20}$

3.4. SN models $\frac{1}{71}$

The ejecta models described in Sect. [3.2](#page-7-4) were first (homologously) rescaled to one day. Based on an initial temperature $\frac{73}{2}$ profile, the SN models were then evolved with JEKYLL using 74 135 logarithmically spaced time steps to 501 days. The SN 75 models were calculated using a frequency grid of 5000 loga- ⁷⁶ rithmically spaced intervals between 10 Å and 20, μ m, and each π model required ∼25000 central processing unit (CPU) hours. ⁷⁸ With 384 CPUs, this resulted in a computing time of \sim 3 days. 79 [T](#page-21-6)he initial temperature profile was taken from a HYDE [\(Ergon](#page-21-6) 80 [et al.](#page-21-6) [2015\)](#page-21-6) SN model for a $5 M_{\odot}$ bare helium core exploded 81 with an energy of 1.1 B and ejecting $0.13 M_{\odot}$ of ⁵⁶Ni. As this 82 SN model was based on a bare helium core, the cooling of the 83 thermal explosion energy, lasting for a few days in a model with 84 a hydrogen envelope, was ignored. The subsequent evolution is 85 powered by the continuous injection of radioactive decay energy, 86 and the choice of an initial temperature profile is not critical, 87 although it may have some effect on the early evolution.

We note that there is a switch in the JEKYLL setup at 100 89 days, when charge transfer and a more extended scheme for non-
90 thermal excitation are turned on (see Appendix [A\)](#page-22-0). This can be \Box visible as a slight shift in some of the model light curves. More-
92 over, some MC noise is present in the models, and in order to 93 reduce this, gentle smoothing has been applied in some figures. ⁹⁴

Finally, due to convergence difficulties in some low-density 95 regions, the outer part of the hydrogen envelope was removed 96

¹ after 100 days, and the density was lowered in the expanded ² Fe/He clumps in the outer helium envelope after 50 days. Due to ³ the extremely low optical depth in these regions at these epochs,

⁴ this has no effect on the model spectra and light curves.

⁵ **4. Comparisons to observations**

We now proceed by comparing our JEKYLL models to the observations of SN 2020acat. In Sect. [4.1,](#page-10-1) we use the comparison to constrain the parameters of the model: the initial mass, ⁹ the mixing and expansion of the radioactive material, and the ¹⁰ mass and mass-fraction of hydrogen in the hydrogen-envelope. ¹¹ In Sect. [4.2,](#page-14-0) we compare the spectra and light curves of SN ¹² 2020acat in more detail to our optimal model, and discuss the ¹³ remaining differences and their possible origin.

¹⁴ *4.1. Constraining the model parameters*

 It is important to point out that because a full scan of parameter space is computationally not feasible, and because several limi- tations exist even in advanced SN models, we cannot hope for a perfect match. We should rather use a set of well-motivated key measures to search for a model that best fits the observations. To constrain the parameters of our models, we therefore applied five criteria: three criteria for the properties of the helium core, and two for the properties of the hydrogen envelope.

 First, to constrain the properties of the helium core, that is, the initial mass and the mixing and expansion of the radioactive material, the optimal model should show the best overall match ²⁶ to the flux in the [O I] 6300, 6364 Å lines in the nebular phase and to the pseudo-bolometric light curve in both the diffusion and tail phase. These are all well-established criteria that have been used in a wide range of cases, and they are also well moti- vated from a physical point of view. In the nebular phase, the flux of the [O I] 6300, 6364 Å lines provides a measure of the oxygen mass, which is related to the helium core mass in our models. In the diffusion phase, the bolometric light curve provides a mea- sure of the diffusion time for thermal radiation, whereas in the 35 tail phase, it provides a measure of the optical depth to the γ -rays.
36 These measures are both related to the ejecta mass, which in turn These measures are both related to the ejecta mass, which in turn is related to the helium core mass in our models. In addition, the diffusion time is related to the expansion of the radioactive mate-³⁹ rial (see [E22\)](#page-21-1), whereas the optical depth to the γ -rays is related ⁴⁰ to the mixing of this material. The capabilities of the JEKYLL to the mixing of this material. The capabilities of the JEKYLL code of modelling both the photospheric and nebular phase allow us to apply these three criteria in a self-consistent way based on highly sophisticated physics.

Second, to constrain the properties of the hydrogen envelope, the optimal model should show the best match to the hydrogen and helium lines in the photospheric phase. The strength and shape of these lines are related to the optical depths of these lines in the hydrogen envelope, which in turn are related to the mass of hydrogen and helium in the hydrogen envelope. As the hydrogen envelope in a Type IIb has a relatively low mass and soon becomes more or less transparent, it does not have a significant impact on the other key quantities and can be constrained separately.

4.1.1. Helium core

⁵⁵ To explore the properties of the helium core, we used the ⁵⁶ diffusion-phase pseudo-bolometric light curve, the tail phase ⁵⁷ pseudo-bolometric light curve and the nebular phase [O I] 6300,

Fig. 7. Evolution of the luminosity in the [O I] 6300, 6364 Å lines normalised with the ⁵⁶Ni decay luminosity for SN 2020acat (black crosses) and the JEKYLL models with strong expansion and medium mixing of the radioactive material and initial masses of 13 M_{\odot} (red), 15 M_{\odot} (cyan), 17 M_{\odot} (green), 19 M_{\odot} (yellow), and 21 M_{\odot} (blue).

 6364 Å flux. First, the latter two were used two constrain the $_{58}$ initial mass of the progenitor, and then the former was used to 59 constrain the mixing and expansion of the radioactive material. $\qquad 60$

Figure [7](#page-10-2) shows the evolution of the luminosity in the $[O I]$ 61 6300, 6364 Å lines for SN 2020acat compared to models M13- $\frac{62}{2}$ m-s, M15-m-s, M17-m-s, M19-m-s, and M21-m-s, which all \approx have medium mixing and strong expansion of the radioactive $\overline{64}$ material and only differ in the initial mass. Clearly, in the $13 M_{\odot}$ 65 model the luminosity is far too low at all epochs, whereas in 66 the 21 M_{\odot} model the luminosity is too high from ~150 days and 67 onwards, and is far too high at ~400 days. It could be argued that ⁶⁸ later epochs are more reliable because the SN has then become $\overline{69}$ more nebular and optical depth effects are weaker. In that case, $\frac{70}{20}$ both the 13 and 21 M_{\odot} model seem to be excluded, and the 17– $\frac{7}{11}$ 19 M_{\odot} models match the observations best. We note that the $\frac{72}{2}$ JEKYLL models evolve more slowly overall than is observed for $\frac{73}{2}$ SN 2020acat. We return to this problem in Sect. [4.2.](#page-14-0)

In Fig. [8,](#page-11-0) we show the evolution of the luminosity in the $\frac{75}{6}$ [Ca II] 7291, 7323 Å lines for SN 2020acat compared to the same π models. Because these lines may overtake the cooling from the $\frac{77}{27}$ [O I] 6300, 6364 Å lines if calcium-rich material is mixed in π some way with the oxygen-rich material (see $E22$), it is important $\frac{79}{2}$ to examine these lines as well. We note, however, that the $\overline{[Ca II]}$ 80 7291,7323 Å lines mainly originate from the Si/S and $O/Si/S$ 81 zones, which are not adjusted to comply with the adopted $56Ni$ 82 mass (see Sect. 3.2). This comparison therefore has to be taken 83 with a grain of salt. Nevertheless, the $17-19 M_{\odot}$ models, which 84 matched the evolution of the flux in the [O I] 6300, 6364 Å line $\frac{1}{100}$ as best, also match the evolution of the flux in the $\lceil \text{Ca II} \rceil$ 7291, 86 7323 Å lines reasonably well, which is assuring. $\frac{87}{20}$

Figure [9](#page-11-1) shows the pseudo-bolometric *uBVriz* light curve for 88 SN 2020acat compared to models M13-m-s, M15-m-s, M17-m- 89 s, M19-m-s, and M21-m-s, which all have medium mixing and $\frac{90}{2}$ strong expansion of the radioactive material, and only differ in 91 the initial mass. During the diffusion phase, the model light $_{92}$ curves are fairly similar, but during the tail phase, they diverge 93 progressively. Compared to SN 2020acat, the luminosity of the ⁹⁴ $13 M_{\odot}$ model is too low and declines too fast, whereas the luminosity of the 21 M_{\odot} model is too high and declines too slowly. 96

Fig. 8. Evolution of the luminosity in the [Ca II] 7291, 7323 Å lines normalised with ⁵⁶Ni decay luminosity for SN 2020acat (black crosses) and the JEKYLL models with strong expansion and medium mixing of the radioactive material and initial masses of 13 M_{\odot} (red), 15 M_{\odot} (cyan), 17 M_{\odot} (green), 19 M_{\odot} (yellow), and 21 M_{\odot} (blue).

Fig. 9. Pseudo-bolometric *uBVriz* light curves until 250 days for SN 2020acat and JEKYLL models with strong expansion and medium mixing of the radioactive material and initial masses of 13 M_{\odot} (red), 15 M_{\odot} (cyan), 17 M_{\odot} (green), 19 M_{\odot} (yellow), and 21 M_{\odot} (blue).

¹ This indicates that the optical depth to the γ-rays in the 13 and ² 21 M_{\odot} models is too low and too high, respectively. The best 21 M_{\odot} models is too low and too high, respectively. The best ³ agreement with SN 2020acat in the tail phase is shown by the $15-17 M_{\odot}$ models. The similarity of the models in the diffusion ⁵ phase may be surprising because of the quite large difference in ejecta mass, but in our models, the early evolution is largely determined by the helium envelope, which is not that different in the models. The mass of the helium envelope increases only slowly with initial mass, and the interface velocities are fixed ¹⁰ by observations. We note that the tail luminosity and decline ¹¹ rate also depend on the mixing of the radioactive material, and ¹² high-mass models with extreme mixing and low-mass models ¹³ with weak mixing may fit the tail better than the medium-mixing ¹⁴ models shown here. The tail-phase comparison is therefore not ¹⁵ conclusive in itself. However, in combination with the evolution ¹⁶ of the flux in the [O I] 6300, 6364 Å lines, the 13 and 21 M_{\odot}

Fig. 10. Pseudo-bolometric *uBVriz* light curves until 150 days for SN 2020acat and JEKYLL models with an initial mass of 17 M_{\odot} , medium mixing, and no, medium, and strong expansion of the radioactive material.

models seem to be excluded, and the $17 M_{\odot}$ model matches best 17 overall. The diffusion phase does not provide any useful con- ¹⁸ straints on the initial mass, but we used it instead to constrain the 19 mixing and expansion of the radioactive material.

Figure [10](#page-11-2) shows the pseudo-bolometric *uBVriz* light curve 21 for SN 2020acat compared to models M17-m-n, M17-m-m, and 22 M17-m-s, which all have an initial mass of 17 M_{\odot} and only differ in the expansion of the radioactive material. In contrast to the ²⁴ previous case, the tail-phase light curves are similar, whereas the ²⁵ diffusion phase light curves differ. The diffusion peak is clearly 26 too broad for the models without or with only a mild expansion of the radioactive material, whereas the model with strong 28 expansion of the radioactive material gives a much better fit. The 29 reason for the differences in the diffusion phase light curve is that 30 the expansion of the radioactive material decreases the effective $\frac{31}{21}$ opacity of the ejecta. This small-scale 3D effect is discussed in 32 detail in [E22](#page-21-1) (see also [Dessart & Audit](#page-21-2) [2019](#page-21-2) for a discussion of $\frac{33}{2}$ this effect on Type IIP SN light curves).

Figures [11](#page-12-0) and [12](#page-12-1) show the pseudo-bolometric *uBVriz* light ³⁵ curve for SN 2020acat compared to models with an initial ³⁶ mass of 17 M_{\odot} that only differ in the mixing of the radioactive $\frac{37}{2}$ material. In Fig. [11,](#page-12-0) we show models M17-w-n, M17-m-n, and 38 M17-s-n, which have no expansion of the radioactive material, 39 and in Fig. [12](#page-12-1) we show models M17-w-s, M17-m-s, and M17s-s, which have strong expansion of the radioactive material. 41 Clearly, the diffusion peaks of the models with no expansion of 42 the radioactive material are too broad, regardless of the mixing 43 of this material. If the radioactive material is strongly expanded, ⁴⁴ the width of the diffusion peak becomes narrower and agrees 45 better with the observations, and the best agreement is achieved 46 with strong mixing of this material. With only weak mixing of 47 the material, the peak becomes far too broad, which means that 48 both strong mixing and strong expansion of the radioactive material seem to be required to fit the diffusion peak of SN 2020acat. ₅₀ We note that the peak luminosity is not fully reproduced by any $\frac{51}{10}$ of the models, and it is 15–20% fainter than for SN 2020acat. We 52 return to this issue in Sect. [4.2.](#page-14-0) Moreover, the tail luminosity is 53 too bright for the weakly mixed models. Although the match to 54 the tail could be improved for these models by lowering the mass 55

Fig. 11. Pseudo-bolometric *uBVriz* light curves until 150 days for SN 2020acat and JEKYLL models with an initial mass of 17 M_{\odot} , no expansion, and weak, medium, and strong mixing of the radioactive material.

Fig. 12. Pseudo-bolometric *uBVriz* light curves until 150 days for SN 2020acat and JEKYLL models with an initial mass of $17 M_{\odot}$, strong expansion, and weak, medium, and strong mixing of the radioactive material.

 1 of ⁵⁶Ni, this would give an even worse fit to the diffusion phase ² because it roughly corresponds to a scaling of the light curve.

³ 4.1.2. Hydrogen envelope

 To explore the properties of the hydrogen envelope, we used the hydrogen and helium lines, where we first used the former to constrain the mass of hydrogen, and then the latter to constrain the mass fraction of hydrogen. For a given mass of hydrogen, this is inversely proportional to the total mass of the hydrogen envelope.

¹⁰ Figure [13](#page-12-2) shows the evolution of the Hα and Hβ lines
¹¹ for SN 2020acat compared to models M17-s-s-H-low. M17-s-s. for SN 2020acat compared to models M17-s-s-H-low, M17-s-s, 12 and M17-s-s-H-high, which only differ in the mass of hydro-¹³ gen in the envelope. In the model with a high hydrogen mass ¹⁴ ($M_H = 0.054 M_{\odot}$) the Hα and Hβ absorption becomes too strong towards 100 days, and in the model with a low hydrogen mass towards 100 days, and in the model with a low hydrogen mass 16 (*M*H = 0.0135 *M*_{\odot}) the absorption in these lines is too weak and

Fig. 13. Evolution of the H α (upper panel) and H β (lower panel) lines for SN 2020acat (red) and the JEKYLL models (black) with an initial mass of 17 M_{\odot} , strong mixing and strong expansion of the radioactive material, and a mass of hydrogen in the envelope of 0.0135 (left), 0.027 (middle), and 0.054 (right) *M*⊙. Spectra from nine logarithmically spaced epochs are shown, and the model C/O–He (blue) and He-H (red) interface velocities are indicated with dashed lines.

appears at too low velocities. On the other hand, both the H α 17
and H β lines are reasonably well reproduced in the model with and Hβ lines are reasonably well reproduced in the model with a medium hydrogen mass $(M_H=0.027 M_{\odot})$, and this model gives a medium hydrogen mass (M_H =0.027 M_{\odot}), and this model gives the best overall fit to the evolution of these lines.

Figure [14](#page-13-0) shows the evolution of the He I 5876 Å and He I $_{21}$ 1.083 μ m lines for SN 2020acat compared to models M17-m-s, $_{22}$ M17-s-s, and M17-s-s-XH-low, which all have a medium hydro-
23 gen mass and differ in the mass-fraction of hydrogen and the ²⁴ mixing of the radioactive material. Clearly, the match is worse 25 for the models with a high hydrogen mass-fraction $(X_H = 0.54)$ 26
than for the model with a low hydrogen mass-fraction $(X_H = 27)$ than for the model with a low hydrogen mass-fraction $(X_H =$ 0.27), although a stronger mixing of the radioactive material $\frac{28}{29}$ improves the match somewhat due to non-thermal excitation and improves the match somewhat due to non-thermal excitation and ionisation. In particular, the absorption in the He I $1.083 \,\mu m$ line $\frac{300}{2}$ is too weak at velocities above the interface between the helium $\frac{31}{21}$ and hydrogen envelope. The model with strong mixing and a 32 low hydrogen mass-fraction gives the best match overall to the 33 evolution of the He I 5876 Å and He I 1.083 μ m lines.

 $F_{\rm A}$ + const
 $F_{\rm A}$ g $\overline{2}$ 75 d $100d$ $\mathbf 0$ 10 $\overline{0}$ 10 -20 10 $\dot{0}$ 10 20 10 $\overline{0}$ 10 -20 v (10^3 km s^{-1})

Fig. 14. Evolution of the He I 5876 Å (upper panel) and He I 1.083 μ m (lower panel) lines for SN 2020acat (red) and the JEKYLL models (black) with an initial mass of 17 M_{\odot} , strong expansion of the radioactive material, medium mixing plus $X_H = 0.54$ (left), strong mixing plus $X_{\text{H}} = 0.54$ (middle), and strong mixing plus $X_{\text{H}} = 0.27$ (right). The figure is otherwise the same as Fig. [13.](#page-12-2)

¹ 4.1.3. Summary and discussion

2 In summary, the optimal model has an initial mass of $17 M_{\odot}$, ³ strong mixing and strong expansion of the radioactive material, 4 and a 0.1 M_{\odot} hydrogen envelope with $X_{\rm H} = 0.27$. In Sect. [4.2](#page-14-0) we study this model in more detail, compare it with the observations ⁵ study this model in more detail, compare it with the observations ⁶ of SN 2020acat, and discuss similarities as well as remaining ⁷ differences and their possible origin. First, however, we discuss the main properties derived for our optimal model.

The most interesting result is perhaps the strong expan- sion of the radioactive material that seems to be required, and the accompanying strong effect of that on the diffusion phase light curve. As discussed in detail in [E22,](#page-21-1) the expansion of the Ni/He clumps creates a density contrast between these and other clumps, which affects the radiative transfer and leads to a decrease in the effective opacity. This can be imagined as a Swiss-cheese-like geometry, in which the photons diffuse faster through the low-density Ni bubbles. The effect is strongest in the limit of optically thick clumps, and it disappears in the limit 19 of optically thin clumps. As derived in $E22$, the decrease in the effective opacity in the limit of optically thick clumps is roughly

Fig. 15. Evolution of the (mass-averaged) effective Rosseland mean opacity in the inner helium envelope for the model with strong expansion (black) and the corresponding effective Rosseland mean opacity in the limits of optically thick (blue) and thin (red) clumps. In addition, we show the evolution of the effective Rosseland mean opacity for the model without expansion (cyan).

given by the product of the (volume) expansion and filling factors 21 for the Ni/He clumps.

This is illustrated by Fig. 15 , where we show the (average) 23 effective Rosseland mean opacity in the inner helium envelope 24 for the model with strong expansion (M-17-s-m) and the corresponding limits for optically thick and thin clumps. Initially, ²⁶ the effective opacity follows the thick limit, which is a factor 27 of ∼5 below the thin limit and then gradually approaches the ²⁸ thin limit towards ∼60 days, where the radiative transfer effect ²⁹ disappears. However, we also show in Fig. [15](#page-13-1) the model with-
so out expansion (M17-n-m). Compared to that model, the effective $\frac{31}{21}$ opacity remains lower even after ∼60 days. This is due to a ³² density-driven recombination effect that was discussed in more 33 detail by [E22](#page-21-1) and by [Dessart et al.](#page-21-43) (2018) . The radiative transfer $_{34}$ and recombination effects are complementary, but the radiative 35 transfer effect is stronger and dominates during the diffusion ³⁶ phase. The state of the sta

We point out, however, that we cannot rule out that physics 38 outside the limitations of JEKYLL (see Sect. 3.1) may cause a 3.99 similar effect on the diffusion phase light curve as the expansion 40 of the Ni bubbles. Early-time CSM interaction seems unlikely ⁴¹ because there are no signs of this in the spectra and because the 42 mass-loss rate estimated from radio observations (Poonam et al. 43 in prep.) is more similar to SN 2011dh than to interacting Type $_{44}$ IIb SNe as 1993J. Large-scale asymmetries are harder to rule ⁴⁵ out, and they may or may not give rise to a similar effect on the 46 diffusion phase light curve. We discuss this issue further below. 47 We note that the degree of expansion of the radioactive material is somewhat degenerate with the assumed clumps size (see ⁴⁹ Sect. 3.3), and larger clumps would require less expansion of this 50 material to achieve the same effect on the light curve.

If the magnitude of the effect in our model of SN 2020acat 52 were typical for Type IIb and other SE SNe, it would have 53 important implications for the entire literature of 1D light-curve $\frac{54}{9}$ modelling of these SNe. This applies to both simple (e.g [Cano](#page-21-44) 55 [2013;](#page-21-44) [Lyman et al.](#page-21-45) [2016;](#page-21-45) [Prentice et al.](#page-21-46) [2016\)](#page-21-46) and more advanced $_{56}$ (e.g [Ergon et al.](#page-21-6) [2015;](#page-21-6) [Taddia et al.](#page-21-37) [2018\)](#page-21-37) 1D models because 57

none of them take the effect of the Ni bubbles on the effec- tive opacity into account. Depending somewhat on which weight is given to the diffusion-phase light curve, the ejecta masses derived from this modelling could be systematically and quite strongly underestimated.

Ignoring the effect may give rise to a tension between quantities derived from the diffusion and tail phases, similar to what we find for SN 2020acat. Interestingly, a tension like this has been reported by [Wheeler et al.](#page-21-47) [\(2015\)](#page-21-47) for a literature sam- ple of SE SNe, although this tension may arise at least partly from other simplifications in their methods (see [Nagy](#page-21-48) [2022\)](#page-21-48). A tension like this has also been reported for several Type Ic broad- line (BL) SNe. For SN 1998bw, [Dessart et al.](#page-21-49) [\(2017\)](#page-21-49) found that the tail phase required much more massive ejecta than the dif- fusion phase. [Maeda et al.](#page-21-50) [\(2003\)](#page-21-50) proposed that this could be explained by large-scale asymmetries in a jet-driven explosion and introduced a simple two-component ejecta model (see also [Valenti et al.](#page-21-51) [2008](#page-21-51) for a similar model). In this model, the low- density jet component, which is assumed to contain most of the Ni/He material, gives rise to a fast and luminous diffusion peak, whereas the high-density disk component, which is assumed to contain most of the oxygen-rich material, gives rise to the tail. While this ejecta geometry is not entirely far-fetched in the case of a Typ Ic-BL SN, which are thought to originate from a fast- rotating progenitor star, it makes less sense in the case of a Type IIb SN.

 To explain the early light curve of SN 1998bw, [Höflich et al.](#page-21-52) [\(1999\)](#page-21-52) proposed an oblate ejecta geometry. Oblate or prolate ejecta geometries depend on the viewing angle and may boost or suppress the luminosity during the diffusion phase due to the projected area of the photosphere (see also [Kromer & Sim](#page-21-53) [2009](#page-21-53) for a prolate Type Ia toy model). This effect seems more plausi- ble in the case of Type IIb SNe, and would give rise to another form of tension between the diffusion and the tail phases that 35 would be more related to the mass of ⁵⁶Ni than the ejecta mass. Direct observational evidence for large-scale asymmetries in the ejecta of SNe can be searched for using polarimetry. Although no polarimetry was obtained for SN 2020acat, polarimetry have been obtained for several other Type IIb SNe. The results show a continuum polarisation of ∼0.5% during the helium-dominated phase for most objects [\(Mauerhan et al.](#page-21-54) [2015\)](#page-21-54), which might be interpreted as moderately aspherical ejecta. This interpretation is not clear, however, because clumpy ejecta may also contribute ⁴⁴ [t](#page-21-38)o the continuum polarisation. Explosion models [\(Wongwatha](#page-21-38)[narat et al.](#page-21-38) [2017\)](#page-21-38) indicate that asymmetries arise on a wide range of scales in Type IIb SNe, and although our results does not dis- prove that large-scale asymmetries affect their light curves, they do prove that small- and medium-scale asymmetries may also have a strong effect.

 The strong mixing of the radioactive material required to fit 51 the early light curve is in line with results from hydrodynamical modelling of Type IIb SNe (e.g. [Bersten et al.](#page-21-28) [2012;](#page-21-28) [Ergon et al.](#page-21-6) [2015;](#page-21-6) [Taddia et al.](#page-21-37) [2018\)](#page-21-37). We note, however, that in our models strong expansion of this material is also required to reduce the effective opacity in the layers into which the material is mixed. This is likely related to the fact that in our models, the mixing of the radioactive material has an opposite effect and increases the opacity, both through the higher line-opacity of this material and through non-thermal ionisation. Strong mixing of the radioactive material is also in line with results from Type IIb explosion mod- els [\(Wongwathanarat et al.](#page-21-38) [2017\)](#page-21-38) and observations of the Type IIb SN remnant Cas A (e.g. [Willingale et al.](#page-21-39) [2002\)](#page-21-39).

⁶³ The relatively high initial mass of ∼17 *M*[⊙] we derived places ⁶⁴ SN 2020acat at the upper end of the mass distribution for Type IIb SNe. [Jerkstrand et al.](#page-21-7) (2015) estimated initial masses well 65 below 17 M_{\odot} for the progenitors of SNe 2008ax, 2011dh, and 66 1993J using modelling of their nebular spectra, which for the 67 latter two is supported by stellar evolutionary analysis of pre- 68 explosion imaging of the progenitors [\(Aldering et al.](#page-21-8) [1994;](#page-21-8) 69 [Maund et al.](#page-21-4) [2011\)](#page-21-4). [Ergon](#page-21-22) [\(2015\)](#page-21-22) found that 56% of the Type IIb $\frac{70}{20}$ progenitors have an initial mass lower than 15 M_{\odot} and 75% have $\frac{71}{11}$ an initial mass lower than $20 M_{\odot}$ using hydrodynamical lightcurve modelling. The simplified treatment of the opacity and the $\frac{73}{2}$ 1D limitation (preventing the effect of the Ni bubbles on the dif- ⁷⁴ fusion time) makes this result uncertain, however. The relatively $\frac{75}{6}$ high initial mass we found for SN 2020acat also makes a single- $\frac{76}{6}$ star origin more plausible. This is in contrast to SN 2011dh, for $\frac{77}{20}$ which a single-star origin seems to be excluded.

The low mass-fraction of hydrogen in the envelope we 79 derived is more in line with a binary origin, however, because 80 a low mass-fraction may naturally arise in a binary system dur-
⁸¹ ing mass transfer onto the companion star [\(Yoon et al.](#page-21-55) [2010\)](#page-21-55). The 82 low mass-fraction of hydrogen in the envelope is also in line with 83 the short cooling phase that SN 2020acat seems to have experi-
₈₄ enced $\left(\langle 1 \text{ day}\right)$ because this tends to result in smaller progenitor and radii. The extent of the cooling phase depends on several factors. radii. The extent of the cooling phase depends on several factors, however, and hydrodynamical modelling is needed to shed more 87 light on this issue.

4.2. Detailed comparison to SN 2020acat. ⁸⁹

In Sect. [4.1,](#page-10-1) we constrained the model parameters by comparing $\frac{90}{20}$ some key observables for SN 2020acat to our model grid, and we 91 determined an optimal model (M17-s-s-XH-low) with an initial 92 mass of 17 M_{\odot} , strong mixing and expansion of the radioactive 93 material, and a 0.1 M_{\odot} hydrogen envelope with $X_{\rm H} = 0.27$. We study this model in more detail here and compare the spectra study this model in more detail here and compare the spectra and light curves in more detail to SN 2020acat. In addition, it 96 is interesting to compare our model to the optimal model for 97 SN 2011dh, which was first presented in [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7) 98 and was then refined for the photospheric phase and discussed 99 in detail in [E22.](#page-21-1) This model has an initial mass of $12 M_{\odot}$, somewhat weaker mixing and expansion of the radioactive material, 101 and a 0.1 M_{\odot} hydrogen envelope with $X_{\rm H}$ =0.54. The models 102 also differ in the mass of 56Ni and in the interface velocities, $_{103}$ reflecting the lower luminosity and line velocities observed in 104 \mathbf{SN} 2011dh. 105

In Fig. [16,](#page-15-0) we show the evolution of the temperature, electron 106 fraction, and radioactive energy deposition in the carbon-oxygen 107 core, the inner and outer helium envelope, and the hydrogen 108 envelope (averaged over the spatial cells and compositional 109 zones) $\frac{3}{2}$ $\frac{3}{2}$ $\frac{3}{2}$, as well as the evolution of the photosphere for the optimal model of SN 2020acat, and in Figs. 17 and 18 , we show the 111 spectral evolution in the optical and NIR and the light curves in $_{112}$ the UV, optical, and NIR for the optimal model compared to the 113 observed evolution of SN 2020acat. In addition, in Figs. [D.1–](#page-27-0) ¹¹⁴ $D.7$ in Appendix [D,](#page-26-0) we show the contributions to the spectral 115 evolution of the optimal model from the different spatial lay- ¹¹⁶ ers, compositional zones, and radiative processes giving rise to 117 the emission. We note that there might be a slight shift in some 118 quantities at 100 days when charge-transfer is turned on (see ¹¹⁹ Appendix \bf{A}).

The evolution of the model for SN 2020acat is qualitatively $_{121}$ similar to that of the model for SN 2011dh (see $E22$, Figs. 2– 122 4). This is expected because they are both Type IIb SN models, 123

³ Because the outer part of the hydrogen envelope was removed after 100 days (see Sect. [3.4\)](#page-9-2), it was not included in the average.

Fig. 16. Evolution of the temperature (upper left panel), electron fraction (upper right panel), and radioactive energy deposition (lower left panel) in the oxygen core (blue), in the inner and outer (yellow and green) helium envelope, and in the hydrogen envelope (red) for the optimal model (M17-s-s-XH-low). In the lower right panel, we show the evolution of the (Rosseland mean) continuum photosphere (black) and the outer borders of the carbon-oxygen core (blue) and the inner and outer (green and yellow) helium envelope.

although with different SN parameters. Initially (5 days), the ² photosphere is located in the inner part of the hydrogen envelope, which is relatively cool and recombined, whereas the core is hot and highly ionised. The emission mainly originates from ⁵ the hydrogen envelope, and the hydrogen signature is strong with lines from the Balmer and Paschen series, mainly seen in emission. However, in contrast to the SN 2011dh model, the helium lines are already on the rise due to the stronger mix-⁹ ing of the radioactive material. After ∼15 days, the photosphere ¹⁰ begins to recede into the helium envelope, the emission from ¹¹ therein increases, and the helium lines continues to grow until ¹² they dominate the spectrum at ∼40 days. The emission from the ¹³ hydrogen line fades away on a similar timescale (although H α and H β remain in absorption) and completes the transition from ¹⁴ and H β remain in absorption) and completes the transition from
¹⁵ a hydrogen- to a helium-dominated spectrum. a hydrogen- to a helium-dominated spectrum.

 Between ∼40 days and ∼60 days, the photosphere recedes through the inner parts of the helium envelope and thereafter through the carbon-oxygen core until it disappears at ∼120 days, when the SN becomes nebular. During this period, emission from the carbon-oxygen core becomes stronger, and at ∼120 days, it dominates redward of the *B*-band. As a consequence, emission from heavier elements that are abundant in the core increases, in particular after ∼120 days, when the characteristic 24 [O I] 6300, 6364 Å and [Ca II] 7291, 7323 Å lines appear. During the nebular phase, this trend continues while the temperature, electron fraction, and energy deposition in the core decrease 26 slowly. At 400 days, emission from the carbon-oxygen core dominates the entire optical and NIR spectrum, and the [O I] 6300, 28 6364 Å and [Ca II] 7291, 7323 Å lines alone contribute about a $_{29}$ quarter of the total luminosity.

The agreement between the model and the observations 31 of SN 2020cat is reasonable overall, and the main differences ³² between SNe 2020acat and 2011dh discussed in Sect. [2.4](#page-3-2) are 33 reflected in our models. The luminosity is higher, the diffusion 34 peak occurs earlier and is bluer, the line velocities are higher, ³⁵ the tail declines more slowly, and the [O I] 6300, 6364 Å lines $\frac{36}{4}$ are stronger in the SN 2020acat model. However, there are also 37 notable differences between our model and the observations of ³⁸ SN 2020acat. During the diffusion phase, the peak luminosity $\frac{39}{20}$ is not entirely reproduced by the model, and it is too low in all $_{40}$ bands. In our models, the peak-to-tail ratio is sensitive to the 41 mixing of the Ni/He material, and a better fit might be achieved $_{42}$ by tweaking this parameter. The difference is more pronounced 43 in the NIR than in the optical and even more so in the UV, where 44 the *UVM2* light curve is to faint by almost 2 mags. As shown in 45 Fig. [19,](#page-17-1) the *UVM2* light curve is very sensitive to the metallicity, 46 and this is more so during the diffusion peak than on the tail, 47 so that the discrepancy in the *UVM2* light curve could indicate 48 subsolar metallicity. However, the *UVM2* light curve is also quite $_{49}$ sensitive to the mass of the hydrogen envelope and the extinction $\frac{50}{20}$

Fig. 17. Spectral evolution for the optimal model (M17-s-s-XH-low; black) compared to the observations of SN 2020acat (red). Spectra from ten logarithmically spaced epochs between 15 and 200 days and a single epoch at 400 days are shown. In addition, the rest wavelengths of the most important lines are shown as dashed green lines.

Fig. 18. Broad-band and bolometric light curves until 250 days for the optimal model (M17-s-s-XH-low; solid lines and circles) compared to the observations of SN 2020acat (dashed lines and crosses). From bottom to top, we show the *UVM2* (magenta), *u* (cyan), *B* (blue), *V* (green), *r* (red), *ugBVriz* pseudo-bolometric (black), *i* (yellow), *z* (blue), *J* (red), *H* (green), and *K* (blue) light curves. They are shifted for clarity by 6.0, 4.3, 2.0, 0.0, –2.3, –5.7, –7.7, –10.0, –13.0, –15.0, and –17.0 mags, respectively.

(which was assumed to be zero in the host galaxy), which means ² that these factors may contribute as well.

 On the tail, we see a growing excess in the NIR, in particular in the K band, which is even more evident in the spectral comparison. This excess is reminiscent of SN 2011dh, where the excess was attributed to dust. However, in the case of SN 2011dh,

Fig. 19. *UVM2* light curves for JEKYLL models with solar (circles), LMC (crosses), and SMC (pluses) metallicity.

a strong excess was also seen in the MIR, which underpinned 7 this explanation. After ∼100 days, a quite strong discrepancy also ⁸ develops in the *B* and *V* bands, which are too bright in the model. ⁹ We did not find any satisfying explanation for this by varying 10 the parameters in our models, which indicates that the discrepancy originates from some process that is absent in our models. 12 One such process is the formation of dust in the ejecta, which $_{13}$ might absorb more strongly at bluer wavelengths. Another pos-
14 sible explanation is large-scale asymmetries in the ejecta because $\frac{1}{15}$ the SN is still optically thick in this wavelength region at ∼200 ¹⁶ days. This explanation is consistent with the fact that the agree-ment again improves towards ~400 days (see Fig. [17\)](#page-16-0). In general, ¹⁸ it is important to note that the optical depths due to line scatter- ¹⁹ ing and fluorescence are quite high in the early nebular phase, so 20 there is considerable reprocessing of the radiation, in particular 21 in the blue, but also at longer wave lengths. This is illustrated by $_{22}$ Fig. [20,](#page-18-0) which shows the escape probability from the centre of $\frac{23}{25}$ the SN as a function of wavelength at 100, 200, and 400 days. $_{24}$ Another aspect is that a large fraction of the emission from the 25 oxygen-rich clumps is reprocessed in the Ni/He clumps, even at ²⁶ 200 days, so that the arrangement of the clumps may also play a 27 role. 28

With respect to individual lines, the hydrogen and helium 29 lines are relatively well reproduced (see Figs. [13](#page-12-2) and [14\)](#page-13-0) through-
₃₀ out the evolution. The agreement with observations is better 31 than for the model of SN 2011dh (compare $E18$,, figs. 6 and 7) 32 probably because the parameters of the hydrogen envelope were 33 adjusted in Sect. [4.1.](#page-10-1) However, the model fails to reproduce the 34 flat-topped shape of the He I 1.083 μ m and He I 2.058 μ m lines 35 discussed in $M23$. Focusing on the former, improving the agree- 36 ment is rather difficult because helium, silicon, and sulphur in 37 the Ni/He, Si/S, and O/Si/S clumps in the core contribute quite 38 strongly to the 1.1 μ m feature at later times. This is illustrated in $\frac{39}{2}$ Fig. [21,](#page-18-1) which shows the contributions from the envelope and the 40 different compositional zones in the core to the 1.1 μ m feature 41 in our optimal model at 150 days. The small amount of helium 42 envelope material that is mixed into the core in our model does 43 not contribute significantly to the emission, and although it is 44 true that the flat-topped line profiles suggest weak inward mix- ⁴⁵ ing of the helium envelope material (see $M23$), this condition is 46 not sufficient to explain the shape of the line profiles. Instead, 47 emission from the explosive nuclear burning material (i.e. the 48

Fig. 20. Escape probability for photons emitted from the centre of the SN as a function of wavelength at 100 (red), 200 (yellow), and 400 (blue) days for the optimal model. We binned the resolution $(\lambda/\Delta\lambda)$ to 62 for clarity.

Fig. 21. Contributions (last emission or scattering event, excluding electron scattering) to the emission in the $1.1 \mu m$ feature from the envelope (blue) and the Ni/He (cyan), Si/S+O/Si/S (red), O/Ne/Mg+O/C (green), and He/C+He/N (yellow) zones in the core for the optimal model of SN 2020acat at 150 days.

Ni/He, Si/S and O/Si/S zones) in the core apparently needs to ² be removed. One possible way to achieve this would be to mix ³ most of this material outside the carbon-oxygen core. Extreme mixing like this seems out of place in a spherically symmet-⁵ ric scenario and might indicate large-scale asymmetries in the ejecta. An alternative explanation is that the explosive nuclear burning occurred in conditions that were quantitatively different from the original models in Woosley $\&$ Heger [\(2007\)](#page-21-23); the ⁹ helium content under nuclear statistical equilibrium (NSE) can ¹⁰ be sensitive for example to the explosion energy.

 In Fig. [22,](#page-19-1) we show a close-up of the calcium, oxygen, and 12 magnesium lines (compare E18, Fig. 9). Like for SN 2011dh, the calcium and oxygen lines are reasonably well reproduced throughout the evolution by our optimal model. However, the Ca II NIR triplet and HK lines are overproduced by the model in absorption. This discrepancy is absent in the modelling of SN 2011dh, which has distinctly stronger absorption than SN_{17} 2020acat in these lines. The reproduction of the magnesium lines 18 is poor, where the Mg I 1.504 μ m line is too weak in the model, in $_{19}$ particular at early times, and the Mg I \vert 4571 Å line is still absent \vert 20 at 400 days, in contrast to the observations. A similar discrep- ²¹ ancy was seen for SN 2011dh [\(Ergon et al.](#page-21-6) [2015;](#page-21-6) [Jerkstrand et al.](#page-21-7) 22 2015), and as discussed in [Jerkstrand et al.](#page-21-7) (2015) (2015) , a possible 23 explanation is the sub-solar magnesium abundance in the models $_{24}$ of Woosley $\&$ Heger [\(2007\)](#page-21-23).

Moreover, as pointed out in Sect. [4.1](#page-10-1) (and as more 26 clearly shown in Figs. [7](#page-10-2) and [8\)](#page-11-0), the evolution of the $[O I]$ 27 6300,6364 Å lines differs somewhat from our models, and it $_{28}$ is faster. The reason for this is not entirely clear, and we were 29 unable to tweak our models to fully reproduce the evolution. 30 A stronger expansion of the radioactive material in the core $\frac{31}{21}$ improves the agreement, however. This is illustrated by Fig. 23 , $\frac{32}{2}$ where we show the evolution of the luminosity in the $[O I]$ 33 6300, 6364 Å lines for models that differ in the expansion of $_{34}$ the radioactive material compared to the observations of SN 35 2020acat. We also show an additional model with very strong 36 expansion of the radioactive material in the core (a contrast fac- 37 tor of 210) in this figure. This model reproduces the evolution in $\frac{38}{100}$ the early nebular phase better, while the models with medium or $\frac{39}{2}$ no expansion match considerably worse. As shown in Fig. [24,](#page-19-3) 40 this is partly explained by a higher fraction of O_I in the models 41 with stronger expansion of the radioactive material, which have a 42 higher density in the compressed oxygen clumps. However, other 43 factors such as the cooling rates also play a role. A lower mass of 44 56 Ni, as might be inferred from the uncertainty in the distance, 45 also improves the evolution in the early nebular phase, likely due 46 to the decreased absorption of the [O I] 6300, 6364 Å emission in 47 the Ni/He clumps. This is illustrated by Fig. 25 , where we show 48 models with ⁵⁶Ni masses of 0.1, 0.13, and 0.15 M_{\odot} , which are all $_{49}$ consistent with the uncertainty on the distance (see Sect. 2.3). $\qquad 50$

The models that differ in expansion of the radioactive mate-
51 rial and the models that differ in 56Ni mass all tend to converge $=$ 52 towards ∼400 days, which speaks in favour of using later epochs 53 when trying to estimate the initial mass. This is likely due to 54 a combination of increasing O I fraction, increasing importance 55 of the [O I] 6300, 6364 Å cooling, and decreasing absorption $\frac{56}{6}$ of the [O I] 6300, 6364 Å emission. We note, however, that at 57 later epochs molecule cooling (which is not accounted for by 58 JEKYLL) might decrease the [O I] 6300, 6300 Å emission from $_{59}$ the O/Si/S and O/C clumps (see e.g. [Jerkstrand et al.](#page-21-7) [2015\)](#page-21-7). This $\qquad 60$ is mainly a problem for models with a relatively low initial mass $\overline{}$ 61 because the O/Ne/Mg zone dominates in more massive models 62 such as our optimal 17 M_{\odot} model (see Appendix [C\)](#page-23-0). Moreover, 63 as previously discussed, the optical depths are still relatively high 64 in the early nebular phase. Only about $40-45\%$ of the [O I] 6300, 65 6300 Å emission escapes at 150–200 days, whereas 90% escapes 660° at 400 days. This means that like the emission in the *B* and *V* 67 bands, the [O I] 6300, 6364 Å emission in the early nebular phase 68 can be affected by large-scale asymmetries in the ejecta, which θ might provide an alternative explanation to the evolution of the $\frac{70}{20}$ [O I] 6300, 6364 Å lines. $\frac{71}{21}$

It is interesting to note that the quite strong [N II] lines at τ 6548, 6583 Å emerging on the red shoulder of the [O I] 6300, $\frac{73}{2}$ [6](#page-21-7)364 Å lines towards ∼300 days in SN 2011dh (see [Jerkstrand](#page-21-7) ⁷⁴ [et al.](#page-21-7) [2015\)](#page-21-7) seem to be much weaker for SN 2020acat. This dif- ⁷⁵ ference is well reproduced by our optimal models for SNe 2011dh ⁷⁶ and 2020acat. Because the [N II] 6548, 6583 Å lines originate in π the He/N zone, this is explained by the much lower fraction of π

Fig. 22. Evolution of calcium, oxygen, and magnesium lines for the optimal model (M17-s-s-XH-low; black) compared to the observations of SN 2020acat (red). Spectra from nine logarithmically spaced epochs between 20 and 200 days are shown. The figure is otherwise the same as Fig. [13.](#page-12-2)

[t](#page-21-7)his material in models with a higher initial mass (see [Jerkstrand](#page-21-7) ² [et al.](#page-21-7) [2015](#page-21-7) for further discussion of this). The observed ratio of the [O I] 6300, 6364 Å and [N II] 6548, 6583 Å lines further supports our conclusion that SN 2020acat originates from a pro-⁵ genitor with a considerably higher initial mass than SN 2011dh. This is illustrated by Fig. 26 , where we show the [O I] 6300, 6364 Å and [N II] 6548, 6583 Å lines at 400 days normalised by the peak flux of the former for our models that differ in initial mass compared to the observations of SN 2020acat. The 17 M_{\odot} ¹⁰ model clearly agrees best with the observations of SN 2020acat, whereas the 13 M_{\odot} model seems to be excluded.

 Finally, we reiterate that some of the discrepancies between our optimal model and the observations of SN 2020acat might be related to large-scale asymmetries in the ejecta. For exam- ple, a jet-disk-like geometry as was proposed for SN 1998bw by ¹⁶ [Maeda et al.](#page-21-50) [\(2003\)](#page-21-50), where most of the Ni/He material is in the jet-like component and most of the oxygen material is in the disk- like component, cannot be excluded. This geometry might also provide an alternative explanation for the tension between the

Fig. 23. Evolution of the luminosity in the [O I] 6300, 6364 Å lines normalised with the ⁵⁶Ni decay luminosity for the JEKYLL models that differ in the expansion of the radioactive material compared to the observations of SN 2020acat (black crosses). We also show a model with very strong (a contrast factor of 210) expansion of the radioactive material in the core.

Fig. 24. Evolution of the fraction of O I in the oxygen-rich clumps for the JEKYLL models that differ in the expansion of the radioactive material. We also show a model with very strong (a contrast factor of 210) expansion of the radioactive material in the core.

diffusion and the tail phases. However, because JEKYLL cur-
20 rently assumes spherical asymmetry on average, this hypothesis, 21 as well as the effect of any other possible large-scale asymmetry, 22 cannot be tested, and we leave this investigation for future work. 23

5. Conclusions ²⁴

We presented a set of Type IIb SN models calculated with 25 the NLTE light-curve and spectral synthesis code JEKYLL and 26 compare them to observations of the Type IIb SN 2020acat. The 27 bulk of the observations were adopted from $M22$ and $M23$, but 28 we also presented new late-time optical observations and refined 29 the photometry by applying S-corrections. To constrain the SN 30 parameters for SN 2020acat, we explored a parameter space in 31 initial mass, mixing, and expansion of the radioactive material 32

Fig. 25. Evolution of the luminosity in the [O I] 6300, 6364 Å lines normalised with the ⁵⁶Ni decay luminosity for JEKYLL models with ⁵⁶Ni masses of 0.1, 0.13, and 0.15 *M*[⊙] compared to the observations of SN 2020acat (black crosses).

Fig. 26. [O I] 6300, 6364 Å and [N II] 6548,6583 lines at 400 days normalised to the peak flux of the former for the JEKYLL models that differ in initial mass compared to the observations of SN 2020acat.

and the mass of the hydrogen envelope and the mass-fraction of ² hydrogen therein. In our phenomenological models, which are ³ based on results from hydrodynamical models, the kinetic energy is fixed by the observed velocities.

The comparisons show that a model with an initial mass of 17 M_{\odot} , strong mixing and expansion of the radioactive material, and an $0.1 M_{\odot}$ hydrogen envelope with a low hydrogen massfraction $(X_H = 0.027)$ agrees best overall with the observations of ⁹ SN 2020acat. Models with initial masses below 15 *M*[⊙] and above ¹⁰ 19 *M*[⊙] seem to be excluded, as are models without strong expan-¹¹ sion and at least medium mixing of the radioactive material. To be more precise, in our model grid, the strong-expansion scenario corresponds to a contrast factor of 60 in the core and 30 in $\frac{1}{3}$ the envelope, and the medium-mixing scenario corresponds to $_{14}$ 50% of the radioactive material mixed into the inner half of the 15 helium envelope. We note, however, that the required degree of $_{16}$ expansion depends on the assumed clump size, and larger clumps 17 would require weaker expansion.

Nevertheless, the strong expansion of the clumps containing $_{19}$ radioactive material is a particularly interesting result. Without 20 strong expansion of the Ni bubbles, there is a tension between the $_{21}$ diffusion phase and the subsequent evolution, and models that fit \qquad 22 the nebular phase give rise to diffusion peaks that are too broad. $_{23}$ This is in line with the results for SN 2011dh in [E22,](#page-21-1) where models without strong expansion resulted in broader diffusion peaks ²⁵ than observed. As discussed in detail in $E22$, the expansion of the $_{26}$ Ni bubbles decreases the effective opacity and the diffusion time, ₂₇ and the width of the diffusion peak is therefore sensitive to this. 28 The effect of the expansion of the Ni bubbles on the diffusion 29 phase light curves has not been taken into account in previous ³⁰ light-curve modelling of Type IIb and other SE SNe, and their 31 ejecta masses might therefore have been systematically underes-
32 timated. We caution that the magnitude of the effect is uncertain, 33 depends on weakly constrained properties of the 3D ejecta struc- ³⁴ ture, and might vary in different SNe, however, so that further 35 work is needed.

A tension between the diffusion phase and the tail phase 37 as we found for SN 2020acat has been reported for other SE 38 SNe (e.g. [Wheeler et al.](#page-21-47) [2015;](#page-21-47) [Nagy](#page-21-48) [2022\)](#page-21-48), and in particu- 39 lar, for Type Ic-BL SNe (e.g. [Maeda et al.](#page-21-50) [2003;](#page-21-50) [Dessart et al.](#page-21-49) 40 2017). Typically, large-scale asymmetries have been proposed to 41 explain this. Because JEKYLL currently assumes a geometry 42 that is spherically symmetric on average, we cannot rule out that 43 large-scale asymmetries play a role in the case of SN 2020acat, 44 and some evidence may also point in this direction. However, 45 we showed that small-scale asymmetries caused by expansion of 46 the radioactive material may naturally resolve the tension and 47 provide an alternative explanation. To fully understand the role 48 played by small- and large-scale asymmetries in the ejecta and to ⁴⁹ disentangle their effects on the observed light curves and spectra ₅₀ of SE SNe, full-fledged 3D NLTE simulations, preferably based 51 on 3D explosion models, are needed.

A detailed comparison of our optimal model with the obser-
53 vations of SN 2020acat was presented. The overall agreement 54 is reasonably good, although distinct differences also exist. For $\frac{55}{2}$ example, our models do not fully reproduce the evolution of $_{56}$ the flux in the [O I] 6300,6364 Å lines, which is faster in SN $\,$ 57 2020acat. A quite strong discrepancy between the optimal model ss and the observations of SN 2020acat also emerges in the *B*- ⁵⁹ and *V*-band light curves towards ∼200 days, although the agree- 60 ment improves again towards ~400 days. In addition, a growing 61 excess emerges in the *K* band after \sim 100 days. This excess is 62 reminiscent of SN 2011dh, where an IR excess attributed to dust 63 [d](#page-21-7)eveloped at a similar timescale (see [Ergon et al.](#page-21-6) [2015;](#page-21-6) [Jerk-](#page-21-7) ⁶⁴ [strand et al.](#page-21-7) [2015\)](#page-21-7). Finally, our models are unable to reproduce ϵ ₆₅ the flat-topped line-profile of He I 1.083 μ m that emerges after 66 $~\sim$ 100 days, as discussed in [M23.](#page-21-12) This might be interpreted as $~\circ$ evidence of extreme mixing of the explosive nuclear burning ϵ_{88} material out of the carbon-oxygen core, but explosive nuclear 69 [b](#page-21-23)urning in conditions different from those in the Woosley $\&$ 70 [Heger](#page-21-23) [\(2007\)](#page-21-23) models could also help to reduce helium emission $\frac{71}{10}$ from the core. The core of the

The relatively high initial mass of ~17 M_{\odot} estimated for the 73 progenitor of SN 2020acat places it at the upper end of the mass 74

- distribution of Type IIb SN progenitors. [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7) 2 estimated initial masses well below 17 M_{\odot} for the progenitors of ³ SNe 2008ax, 2011dh, and 1993J using modelling of their nebular ⁴ spectra, which for the latter two is supported by stellar evolution-⁵ ary analysis of pre-explosion imaging of the progenitors. At the ⁶ relatively high initial mass estimated for the progenitor of SN ⁷ 2020acat, a single-star origin cannot be excluded. The low esti-⁸ mated mass fraction of hydrogen in the hydrogen envelope may be more in line with a binary origin, however. The modelling ¹⁰ presented in this paper further demonstrates the capabilities of ¹¹ the JEKYLL code of self-consistently modelling the evolution ¹² of SNe from early to late times, and how this can be used to
- ¹³ constrain the properties of SNe and their progenitor stars.

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Appendix A: Configuration

 JEKYLL was configured to run in time-dependent mode (with respect to the radiative transfer), and to use a full NLTE solution including radiative bound-bound, bound-free, and free-free pro- cesses, collisional bound-bound and bound-free processes, non- thermal excitation, ionisation, and heating, as well as two-photon processes and charge transfer. Before 100 days, charge-transfer was not included, however, and non-thermal excitation was only included for He. The diffusion solver was used above an optical depth of 50, and a recombination correction based on the total recombination rates was used while still enforcing detailed bal- ance. In addition, packet control [\(E18,\)](#page-21-0) was turned on to ensure good sampling of the radiation field in all frequency regions. The number of Λ-iterations per time step was set to 4. As discussed in [E18,](#page-21-0) this gives a well-converged solution that was also verified for the models used in this paper.

Appendix B: Atomic data

The atomic dataset we used is the default choice described in [E18,](#page-21-0) but was extended with more levels and a full NLTE solu- tion for ionisation stages V and VI. This makes only a small difference for the observed light curves and spectra, and tests show that the simulations are not sensitive to further changes in the number of levels and ionisation stages. Using online data [4](#page-22-2) provided by NIST⁴ (National Institute of Standards and Tech- $_{25}$ $_{25}$ $_{25}$ nology) and R. Kurucz⁵, these ions were updated to include 100 levels (or as many as were available) for elements lighter than scandium and 300 levels (or as many as were available) for heav- ier elements. The total recombination rates for these ions were 29 adopted from the online table provided by S. Nahar^{[6](#page-22-4)} whenever available, and from [Shull & van Steenberg](#page-21-56) [\(1982\)](#page-21-56) otherwise.

<www.nist.gov>

<www.cfa.harvard.edu/amp/ampdata/kurucz23/sekur.html>

[www.astronomy.ohio-state.edu/~nahar/](www.astronomy.ohio-state.edu/~nahar/_naharradiativeatomicdata/)

[_naharradiativeatomicdata/](www.astronomy.ohio-state.edu/~nahar/_naharradiativeatomicdata/)

Appendix C: Ejecta models

In Table [C.1](#page-23-1) we list the mass and in Tables [C.2-](#page-23-2)[C.6](#page-25-0) the composition for each zone in our models with initial masses of 13, 15, 17, 19, and 21 *M*⊙. The ejecta mass and (kinetic) energy of these models are also listed in Table [C.1.](#page-23-1) We note that expect for the interface velocities and the mass of the Ni/He zone, the 13 and 17 *M*[⊙] models are adopted from [Jerkstrand et al.](#page-21-7) [\(2015\)](#page-21-7).

Table C.1. Ejecta mass (*M*⊙), (kinetic) ejecta energy (B), and zone masses (*M*⊙) for models with initial masses of 13, 15, 17, 19, and 21 *M*⊙.

M_{ZAMS}	$M_{\rm ei}$	E_{ei}	Ni/He	Si/S	O/Si/S	O/N e/Mg O/C		He/C	He/N	H
13	$2.1e+00$	8.2e-01	$1.5e-01$	6.8e-02	$1.8e-01$	3.1e-01	$2.5e-01$	$2.4e-01$	8.4e-01	$5.0e-02$
15	$2.6e+00$	$9.2e-01$	$1.8e-01$	8.0e-02	2.4e-01	4.5e-01	4.3e-01	7.5e-01	$4.2e-01$	$5.0e-02$
17	$3.5e+00$	$1.0e+0.0$	$1.7e-01$	$1.1e-01$	2.7e-01	$1.2e+0.0$	5.8e-01	$9.3e-01$	$2.2e-01$	$5.0e-02$
19	$4.5e+00$	$1.3e+00$	$3.8e-01$	$1.2e-01$	$1.9e-01$	$1.9e+00$	2.6e-01	$1.2e+00$	$3.2e-01$	$5.0e-02$
21	$5.4e+00$	$1.4e+00$	3.7e-01	$1.1e-01$	$1.0e-01$	$2.8e+0.0$	$4.0e-01$	$1.3e+00$	$2.6e-01$	$5.0e-02$

Table C.2. Zone composition for models with an initial mass of $13 M_{\odot}$.

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Table C.3. Zone composition for models with an initial mass of 15 *M*⊙.

H	$2.6e-06$	$4.0e-07$	$7.4e-09$	$1.6e-09$	$1.0e-15$	$1.0e-15$	$4.5e-08$	$5.4e-01$
He	$2.4e-01$	$9.5e-06$	$4.0e-06$	$3.7e-06$	$1.6e-04$	$9.4e-01$	$9.9e-01$	$4.4e-01$
C	$1.5e-06$	$1.3e-06$	$3.9e-04$	$8.5e-03$	$2.0e-01$	$3.9e-02$	$2.4e-04$	$1.2e-04$
N	$2.4e-06$	$1.0e-15$	$2.9e-0.5$	$6.9e-0.5$	$1.3e-0.5$	$2.7e-03$	$9.1e-03$	$1.0e-02$
O	$1.8e-0.5$	$1.0e-0.5$	8.1e-01	6.8e-01	$7.3e-01$	$5.6e-03$	$1.8e-04$	$3.2e-03$
Ne	$2.0e-0.5$	$7.3e-06$	$1.4e-04$	$2.3e-01$	$5.0e-02$	$6.8e-03$	$1.1e-03$	$3.0e-03$
Na	$9.0e-07$	7.9e-07	$2.1e-0.5$	5.2e-03	$1.9e-04$	$1.8e-04$	$1.8e-04$	$7.9e-0.5$
Mg	$4.2e-0.5$	$1.4e-04$	$4.5e-02$	$6.2e-02$	$1.6e-02$	$7.3e-04$	$7.0e-04$	$7.2e-04$
Al	$1.0e-05$	$2.0e-04$	$4.4e-03$	$4.0e-03$	$1.2e-04$	$7.2e-05$	$9.0e-05$	$6.9e-05$
Si	$2.5e-04$	$4.1e-01$	$1.2e-01$	$4.8e-03$	$9.4e-04$	$8.2e-04$	$8.2e-04$	$8.2e-04$
S	$2.3e-04$	$3.9e-01$	$1.9e-02$	$2.9e-04$	$2.2e-04$	$4.2e-04$	$4.2e-04$	$4.2e-04$
Ar	$2.4e-04$	5.5e-02	$5.9e-04$	8.4e-05	8.6e-05	$1.1e-04$	1.1e-04	1.1e-04
Ca	$2.8e-03$	$3.5e-02$	$2.8e-0.5$	$3.6e-05$	$2.6e-0.5$	$7.3e-0.5$	7.4e-05	$7.4e-05$
Sc	$2.3e-07$	$2.2e-07$	$1.2e-07$	$1.2e-06$	$1.6e-06$	$7.3e-08$	$4.5e-08$	$4.5e-08$
Ti	$1.7e-03$	5.6e-04	8.1e-06	5.6e-06	$7.0e-06$	$3.4e-06$	$3.4e-06$	$3.4e-06$
V	$3.4e-0.5$	$1.5e-04$	$3.0e-06$	$4.1e-07$	$4.5e-07$	4.7e-07	$4.3e-07$	$4.3e-07$
Cr	$2.4e-03$	$6.6e-03$	8.2e-06	$1.5e-0.5$	$1.2e-0.5$	$2.0e-0.5$	$2.0e-0.5$	$2.0e-0.5$
Mn	$1.8e-06$	$2.8e-04$	$1.7e-06$	6.7e-06	$2.2e-06$	$1.7e-0.5$	$1.5e-0.5$	$1.5e-05$
Fe	$7.9e-04$	$4.2e-02$	$3.4e-04$	8.5e-04	$5.5e-04$	$1.4e-03$	$1.4e-03$	$1.4e-03$
Co	$2.4e-08$	$2.0e-09$	1.9e-04	1.8e-04	$2.0e-04$	4.8e-06	$4.0e-06$	$4.0e-06$
Ni	$2.9e-02$	$2.3e-03$	8.6e-04	$4.4e-04$	6.7e-04	8.2e-05	$8.2e-0.5$	8.2e-05
56 Ni	$6.9e-01$	5.7e-02	1.9e-07	$3.5e-07$	$1.1e-08$	$7.5e-08$	$2.0e-08$	$1.2e-10$
57 Ni	3.4e-02	$1.4e-03$	$3.1e-06$	$2.6e-07$	$1.3e-08$	$6.6e-09$	$1.0e-15$	$2.2e-11$
44 Ti	$5.3e-04$	$1.5e-0.5$	$1.1e-09$	$1.0e-15$	$1.0e-15$	$1.0e-15$	$1.0e-15$	$1.0e-15$

Table C.4. Zone composition for models with an initial mass of 17 *M*⊙.

Table C.5. Zone composition for models with an initial mass of 19 *M*⊙.

Element	Ni/He	Si/S	O/Si/S	O/Ne/Mg	O/C	He/C	He/N	H
H	$5.5e-05$	$1.2e-06$	$2.1e-07$	6.8e-09	$2.3e-09$	$6.2e-10$	$5.0e-02$	$5.4e-01$
He	5.5e-01	5.7e-05	2.3e-05	$1.1e-0.5$	$1.0e-02$	8.0e-01	$9.5e-01$	$4.4e-01$
C	$5.0e-07$	$4.8e-0.5$	$1.6e-04$	$4.0e-02$	$2.6e-01$	$8.0e-02$	8.6e-05	$1.2e-04$
N	$1.2e-06$	$1.8e-06$	$1.9e-0.5$	$3.4e-0.5$	$2.2e-0.5$	$1.3e-04$	$2.5e-03$	$1.0e-02$
O	$9.7e-06$	$4.1e-02$	5.8e-01	$7.5e-01$	7.1e-01	$1.2e-01$	$4.2e-05$	$3.2e-03$
Ne	7.7e-06	$3.6e-0.5$	$1.5e-04$	$1.7e-01$	$1.6e-02$	$4.3e-03$	$2.2e-04$	$3.0e-03$
Na	$7.2e-08$	$8.3e-10$	$1.0e-09$	1.8e-06	$4.2e-08$	$3.3e-09$	$7.4e-11$	7.9e-05
Mg	$1.5e-0.5$	$2.3e-04$	$6.5e-04$	$3.1e-02$	$6.3e-03$	$6.4e-04$	1.1e-04	7.2e-04
Al	$2.7e-0.5$	$3.1e-04$	1.8e-04	2.8e-03	$4.5e-05$	$1.7e-0.5$	$1.5e-05$	$6.9e-05$
Si	$2.0e-02$	$4.4e-01$	$2.3e-01$	$6.8e-03$	$4.8e-04$	$1.9e-04$	$1.1e-04$	8.2e-04
S	$3.3e-02$	$3.6e-01$	$1.6e-01$	$1.2e-03$	$1.2e-04$	$7.2e-0.5$	5.1e-05	$4.2e-04$
Ar	$8.0e-03$	$4.6e-02$	$2.7e-02$	$1.5e-04$	$3.5e-0.5$	$1.7e-0.5$	$1.2e-0.5$	$1.1e-04$
Ca	$9.5e-03$	$2.7e-02$	$8.3e-03$	$3.5e-0.5$	$1.3e-0.5$	$9.7e-06$	$7.2e-06$	$7.4e-05$
Sc	$2.7e-06$	2.7e-07	$7.7e-07$	$3.0e-07$	$2.2e-07$	$2.7e-0.8$	$4.0e-09$	$4.5e-08$
Ti	8.5e-07	$2.0e-0.5$	$7.3e-0.5$	$2.3e-06$	$2.0e-06$	$4.9e-07$	$2.8e-07$	$3.4e-06$
V	$1.5e-08$	$2.2e-07$	8.8e-08	$1.8e-07$	8.2e-08	$5.1e-08$	$3.4e-08$	$4.3e-07$
Cr	$4.9e-04$	$7.3e-04$	$1.0e-04$	$4.3e-06$	$4.2e-06$	$2.2e-06$	$1.5e-06$	$2.0e-0.5$
Mn	$1.7e-04$	$2.6e-04$	$1.0e-0.5$	$1.2e-06$	$9.8e-07$	$1.6e-06$	$1.1e-06$	$1.5e-0.5$
Fe	$2.1e-02$	5.0e-02	$1.4e-03$	$4.3e-04$	$4.1e-04$	$2.8e-04$	$1.9e-04$	$1.4e-03$
Co	$2.2e-03$	$1.5e-03$	$7.6e-06$	$4.0e-05$	$4.6e-05$	5.7e-06	2.7e-07	$4.0e-06$
Ni	$1.2e-02$	$1.2e-03$	$3.2e-04$	$1.7e-04$	$1.3e-04$	$1.5e-0.5$	$5.5e-06$	$8.2e-0.5$
56 Ni	3.3e-01	$2.5e-02$	$2.5e-08$	$1.6e-11$	$5.6e-13$	$1.3e-13$	$2.5e-15$	$1.2e-10$
57 Ni	$1.1e-02$	5.7e-04	9.3e-08	$1.8e-10$	$4.6e-12$	5.3e-11	$1.9e-11$	$2.2e-11$
44 Ti	$9.5e-0.5$	$1.1e-0.5$	$2.6e-06$	$4.8e-09$	$2.6e-12$	$1.6e-13$	$2.6e-15$	$8.2e-16$

Table C.6. Zone composition for models with an initial mass of 21 *M*⊙.

Appendix D: Additional spectral figures

 For convenience, we provide a set of additional spectral figures for the optimal model of SN 2020acat. First, we show in Fig. [D.1](#page-27-0) the contribution (last scattering or emission event, excluding electron scattering) from the carbon-oxygen core, the inner and outer helium envelope, and the hydrogen envelope to the spectral evolution.

Second, we show in Fig. [D.2](#page-28-0)[-D.7](#page-33-0) the bound-bound con- tribution (last scattering or emission event, excluding electron scattering) from ionisation stages I, II, III, and higher of hydro- gen, helium, carbon, nitrogen, oxygen, sodium, magnesium, silicon, sulphur, calcium, scandium, titanium, chromium, man- ganese, iron, cobalt, nickel, and other elements to the spectral evolution.

 Finally, in Fig. [D.8](#page-34-0) we show the bound-bound contribution (last scattering or emission event excluding electron scatter-ing) from the nickel-rich (Ni/He, Si/S), oxygen-rich (O/Si/S,

O/Ne/Mg, O/C), and hydrogen- and helium-rich (He/C, He/N,

H) compositional zones to the spectral evolution.

Fig. D.1. Spectral evolution in the optical (left panel) and NIR (right panel) for the optimal model, where the NIR flux has been scaled as indicated in blue. In the spectra, we show the contributions (last scattering or emission event, excluding electron scattering) to the flux from the carbonoxygen core (blue), the inner (cyan) and outer (red) helium envelope, and the hydrogen (yellow) envelopes.

Fig. D.2. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of hydrogen (upper panel), helium (middle panel), and carbon (lower panel) to the spectral evolution of the optimal model.

Fig. D.3. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of nitrogen (upper panel), oxygen (middle panel), and sodium (lower panel) to the spectral evolution of the optimal model.

Fig. D.4. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of magnesium (upper panel), silicon (middle panel), and sulphur (lower panel) to the spectral evolution of the optimal model.

Fig. D.5. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of calcium (upper panel), scandium (middle panel), and titanium (lower panel) to the spectral evolution of the optimal model.

Fig. D.6. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of chromium (upper panel), manganese (middle panel), and iron (lower panel) to the spectral evolution of the optimal model.

Fig. D.7. Bound-bound contribution from ionisation stages I (blue), II (red), III (yellow), and higher (green) of cobalt (upper panel), nickel (middle panel), and other elements (lower panel) to the spectral evolution of the optimal model.

Fig. D.8. Bound-bound contribution from the nickel-rich zones (Ni/He: blue, Si/S: red), the oxygen-rich zones (O/Si/S: blue, O/Ne/Mg: red, O/C: yellow), and the hydrogen- and helium-rich zones (H/C: blue, He/N: red, H: yellow) to the spectral evolution of the optimal model.